



Mike Hill  
Mike Hopkins  
Doug Ravenel

## Model categories and stable homotopy theory

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The purpose of this talk is to introduce the use of Quillen model categories

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A spectrum  $X$  was originally defined to be a sequence of pointed spaces or simplicial sets  $\{X_0, X_1, X_2, \dots\}$



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There are two different notions of weak equivalence in the category of spectra  $\mathcal{S}p$ :



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## Introduction (continued)

There are two different notions of weak equivalence in the category of spectra  $Sp$ :

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- (i) Define **stable homotopy groups of spectra**



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There are at least two different ways to finish the definition of stable equivalence:

- (i) Define **stable homotopy groups of spectra** and require  $\pi_* f$  to be an isomorphism.



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- (i) Define **stable homotopy groups of spectra** and require  $\pi_* f$  to be an isomorphism.
- (ii) Define a functor  $\Lambda : Sp \rightarrow Sp$

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Classically these two definitions are equivalent,

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Classically these two definitions are equivalent, but in certain variants of the definition of spectra themselves, **they are different**. They differ in the category  $\mathcal{S}p^{\Sigma}$  of symmetric spectra of Hovey-Shipley-Smith, which we will introduce below.



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1940-2011



Dan Kan  
1928-2013



Pete  
Bousfield



Max Kelly  
1930-2007

In order to understand this better we need to discuss



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We will see that the passage from strict equivalence to stable equivalence is a form of Bousfield localization.



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## Definition

**A Quillen model category  $\mathcal{M}$**  is a category equipped with three classes of morphisms: weak equivalences, fibrations and cofibrations,



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A **Quillen model category**  $\mathcal{M}$  is a category equipped with three classes of morphisms: weak equivalences, fibrations and cofibrations, *each of which includes all isomorphisms*,

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**A Quillen model category  $\mathcal{M}$**  is a category equipped with three classes of morphisms: weak equivalences, fibrations and cofibrations, *each of which includes all isomorphisms*, satisfying the following five axioms:

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**MC1 Bicompleteness axiom.**  $\mathcal{M}$  has all small limits and colimits.



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- MC2 2-out-of-3 axiom.** Let  $X \xrightarrow{f} Y \xrightarrow{g} Z$  be morphisms in  $\mathcal{M}$ .



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- MC2 2-out-of-3 axiom.** Let  $X \xrightarrow{f} Y \xrightarrow{g} Z$  be morphisms in  $\mathcal{M}$ . Then if any two of  $f$ ,  $g$  and  $gf$  are weak equivalences, *so is the third.*



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- MC3 Retract axiom.** A retract of a weak equivalence, fibration or cofibration



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A **Quillen model category**  $\mathcal{M}$  is a category equipped with three classes of morphisms: weak equivalences, fibrations and cofibrations, *each of which includes all isomorphisms*, satisfying the following five axioms:

- MC1 Bicompleteness axiom.**  $\mathcal{M}$  has all small limits and colimits. *These include products, coproducts, pullbacks and pushouts. This implies that  $\mathcal{M}$  has initial and terminal objects, denoted by  $\emptyset$  and  $*$ .*
- MC2 2-out-of-3 axiom.** Let  $X \xrightarrow{f} Y \xrightarrow{g} Z$  be morphisms in  $\mathcal{M}$ . Then if any two of  $f$ ,  $g$  and  $gf$  are weak equivalences, *so is the third.*
- MC3 Retract axiom.** A retract of a weak equivalence, fibration or cofibration is again a weak equivalence, fibration or cofibration.



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# Quillen model categories (continued)

Model categories  
and stable homotopy  
theory



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**MC4 Lifting axiom.** *Given a commutative diagram*

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$$\begin{array}{ccc} A & \xrightarrow{f} & X \\ \text{cofibration } i \downarrow & \nearrow h & \downarrow p \text{ trivial fibration} \\ B & \xrightarrow{g} & Y \end{array}$$

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**MC5 Factorization axiom.** Any morphism  $f : X \rightarrow Y$  can be functorially factored in two ways as

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**This last axiom is the hardest one to verify in practice.**



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## Two classical examples

Let  $\mathcal{T}op$  denote the category of (compactly generated weak Hausdorff) topological spaces.



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Let  $\mathcal{T}op$  denote the category of (compactly generated weak Hausdorff) topological spaces. Weak equivalences are maps inducing isomorphisms of homotopy groups.



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## Two classical examples

Let  $\mathcal{T}op$  denote the category of (compactly generated weak Hausdorff) topological spaces. Weak equivalences are maps inducing isomorphisms of homotopy groups. Fibrations are Serre fibrations, that is maps  $p : X \rightarrow Y$  with the right lifting property

$$\begin{array}{ccc} I^n & \xrightarrow{f} & X \\ j_n \downarrow & \nearrow h & \downarrow p \\ I^{n+1} & \xrightarrow{g} & Y, \end{array}$$

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Cofibrations are maps (such as  $i_n : S^{n-1} \rightarrow D^n$  for  $n \geq 0$ ) having the left lifting property with respect to all trivial Serre fibrations.



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Similar definitions can be made for  $\mathcal{T}$ , the category of **pointed** topological spaces and basepoint preserving maps.



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## Some definitions

Recall that we denote the initial and terminal objects of  $\mathcal{M}$  by  $\emptyset$  and  $*$ . When they are the same, we say that  $\mathcal{M}$  is **pointed**.



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An object  $X$  is **cofibrant** if the unique map  $\emptyset \rightarrow X$  is a cofibration. It  $X$  is **fibrant** if the unique map  $X \rightarrow *$  is a fibration.



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By **MC5**, for any object  $X$ , the unique maps  $\emptyset \rightarrow X$  and  $X \rightarrow *$  have factorizations

$$\emptyset \rightarrow QX \rightarrow X \quad \text{and} \quad X \rightarrow RX \rightarrow *$$



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These maps to and from  $X$  are called **cofibrant** and **fibrant approximations**.



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These maps to and from  $X$  are called **cofibrant** and **fibrant approximations**. The objects  $QX$  and  $RX$  are called **cofibrant** and **fibrant replacements** of  $X$ .





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## Example

In  $\mathcal{T}op$ , let

$$\mathcal{I} = \{i_n : S^{n-1} \rightarrow D^n, n \geq 0\} \text{ and } \mathcal{J} = \{j_n : I^n \rightarrow I^{n+1}, n \geq 0\}.$$

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*It is known that every (trivial) cofibration in  $\mathcal{T}op$  can be derived from the ones in  $(\mathcal{J})\mathcal{I}$  by iterating certain elementary constructions.*

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Similarly in  $\mathcal{T}$  (the category of pointed spaces), let



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$$\mathcal{I}_+ = \{i_{n+} : S_+^{n-1} \rightarrow D_+^n, n \geq 0\}$$

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where  $X_+$  denotes the space  $X$  with a disjoint base point.

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### Definition

A *cofibrantly generated model category*  $\mathcal{M}$  is one with morphism sets  $\mathcal{I}$  and  $\mathcal{J}$  having similar properties to the ones in  $\mathcal{T}op$ .  $\mathcal{I}$  ( $\mathcal{J}$ ) is a *generating set of (trivial) cofibrations*.

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Mike Hill  
Mike Hopkins  
Doug Ravenel

### Definition

A *cofibrantly generated model category*  $\mathcal{M}$  is one with morphism sets  $\mathcal{I}$  and  $\mathcal{J}$  having similar properties to the ones in  $\mathcal{T}op$ .  $\mathcal{I}$  ( $\mathcal{J}$ ) is a *generating set of (trivial) cofibrations*.

In practice, specifying the generating sets  $\mathcal{I}$  and  $\mathcal{J}$ , and defining weak equivalences is the most convenient way to describe a model category.

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The [Kan Recognition Theorem](#) gives four necessary and sufficient conditions for morphism sets  $\mathcal{I}$  and  $\mathcal{J}$  to be generating sets as above,

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## Cofibrant generation (continued)



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# Bousfield localization

Model categories  
and stable homotopy  
theory



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# Bousfield localization

Around 1975 Pete Bousfield had a brilliant idea.



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Suppose we have a model category  $\mathcal{M}$ , and we wish to change the model structure (without altering the underlying category) as follows.



# Bousfield localization



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Suppose we have a model category  $\mathcal{M}$ , and we wish to change the model structure (without altering the underlying category) as follows.

- Enlarge the class of weak equivalences in some way.



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Suppose we have a model category  $\mathcal{M}$ , and we wish to change the model structure (without altering the underlying category) as follows.

- Enlarge the class of weak equivalences in some way.
- Keep the same class of cofibrations as before.



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Since there are **more** weak equivalences,



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Since there are **more** weak equivalences, there are **more** trivial cofibrations. Hence there are **fewer** fibrations



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Since there are **more** weak equivalences, there are **more** trivial cofibrations. Hence there are **fewer** fibrations and **fewer** fibrant objects.



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The hardest part of this is showing that the new classes of weak equivalences and fibrations,



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The hardest part of this is showing that the new classes of weak equivalences and fibrations, along with the original class of cofibrations,



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The hardest part of this is showing that the new classes of weak equivalences and fibrations, along with the original class of cofibrations, satisfy the second Factorization Axiom **MC5**.

**The proof involves some delicate set theory.**



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## Three examples of Bousfield localization

Let  $\mathcal{T}op$  be the category of topological spaces with its usual model structure.



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## Three examples of Bousfield localization

Let  $\mathcal{T}op$  be the category of topological spaces with its usual model structure.

- 1 Choose an integer  $n > 0$ .



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## Three examples of Bousfield localization

Let  $\mathcal{T}op$  be the category of topological spaces with its usual model structure.

- 1 Choose an integer  $n > 0$ . Define a map  $f$  to be a weak equivalence if  $\pi_k f$  is an isomorphism for  $k \leq n$ .



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- 2 Choose a prime  $p$ .



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- 2 Choose a prime  $p$ . Define a map to be a weak equivalence if it induces an isomorphism in mod  $p$  homology.



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- 2 Choose a prime  $p$ . Define a map to be a weak equivalence if it induces an isomorphism in mod  $p$  homology. On simply connected spaces, **the fibrant replacement functor is  $p$ -adic completion.**



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- 3 Choose a generalized homology theory  $h_*$ .



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- 3 Choose a generalized homology theory  $h_*$ . Define a map  $f$  to be a weak equivalence if  $h_* f$  is an isomorphism. The resulting fibrant replacement functor is **Bousfield localization with respect to  $h_*$ .**



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A **symmetric monoidal structure** on a category  $\mathcal{V}_0$  is a functor

$$\mathcal{V}_0 \times \mathcal{V}_0 \xrightarrow{\otimes} \mathcal{V}_0$$

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A **symmetric monoidal structure** on a category  $\mathcal{V}_0$  is a functor

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sending a pair of objects  $(X, Y)$  to a third object  $X \otimes Y$ . It is required to have suitable properties including

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Doug Ravenel

A **symmetric monoidal structure** on a category  $\mathcal{V}_0$  is a functor

$$\mathcal{V}_0 \times \mathcal{V}_0 \xrightarrow{\otimes} \mathcal{V}_0$$

sending a pair of objects  $(X, Y)$  to a third object  $X \otimes Y$ . It is required to have suitable properties including

- a natural isomorphism  $t : X \otimes Y \rightarrow Y \otimes X$  and

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We denote this by  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$ .

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We denote this by  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$ .

Familiar examples include  $(\mathcal{S}et, \times, *)$ ,

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We denote this by  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$ .

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Familiar examples include  $(\mathcal{S}et, \times, *)$ ,  $(\mathcal{T}op, \times, *)$ ,  $(\mathcal{T}, \wedge, \mathcal{S}^0)$ ,

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Familiar examples include  $(\mathcal{S}et, \times, *)$ ,  $(\mathcal{T}op, \times, *)$ ,  $(\mathcal{T}, \wedge, \mathcal{S}^0)$ , where  $\mathcal{T}$  is the category of **pointed** topological spaces, and  $(\mathcal{S}et_{\Delta}, \times, *)$ , where  $\mathcal{S}et_{\Delta}$  is the category of simplicial sets.

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# Enriched category theory (continued)

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## Enriched category theory (continued)

Let  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$  be a symmetric monoidal category as above.

### Definition

A  $\mathcal{V}$ -category



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## Enriched category theory (continued)

Let  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$  be a symmetric monoidal category as above.

### Definition

A  $\mathcal{V}$ -category (or a *category enriched over  $\mathcal{V}$* )  $\mathcal{C}$



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## Enriched category theory (continued)

Let  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$  be a symmetric monoidal category as above.

### Definition

A  $\mathcal{V}$ -category (or a *category enriched over  $\mathcal{V}$* )  $\mathcal{C}$  consists of



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## Enriched category theory (continued)

Let  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$  be a symmetric monoidal category as above.

### Definition

A  $\mathcal{V}$ -category (or a *category enriched over  $\mathcal{V}$* )  $\mathcal{C}$  consists of

- a collection of objects,



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Let  $\mathcal{V} = (\mathcal{V}_0, \otimes, \mathbf{1})$  be a symmetric monoidal category as above.

### Definition

A  $\mathcal{V}$ -category (or a *category enriched over  $\mathcal{V}$* )  $\mathcal{C}$  consists of

- a collection of objects,
- for each pair of objects  $(X, Y)$ , a *morphism object*  $\mathcal{C}(X, Y)$  in  $\mathcal{V}_0$



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- a collection of objects,
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- for each triple of objects  $(X, Y, Z)$ , a *composition morphism* in  $\mathcal{V}_0$

$$c_{X,Y,Z} : \mathcal{C}(Y, Z) \otimes \mathcal{C}(X, Y) \rightarrow \mathcal{C}(X, Z)$$



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$$c_{X,Y,Z} : \mathcal{C}(Y, Z) \otimes \mathcal{C}(X, Y) \rightarrow \mathcal{C}(X, Z)$$

(replacing the usual composition)



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- for each triple of objects  $(X, Y, Z)$ , a *composition morphism* in  $\mathcal{V}_0$

$$c_{X,Y,Z} : \mathcal{C}(Y, Z) \otimes \mathcal{C}(X, Y) \rightarrow \mathcal{C}(X, Z)$$

(replacing the usual composition) and

- for each object  $X$ , an *identity morphism* in  $\mathcal{V}_0$   $\mathbf{1} \rightarrow \mathcal{C}(X, X)$ ,

## Enriched category theory (continued)

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(replacing the usual composition) and

- for each object  $X$ , an *identity morphism* in  $\mathcal{V}_0$   $\mathbf{1} \rightarrow \mathcal{C}(X, X)$ , replacing the usual identity morphism  $X \rightarrow X$ .



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## Enriched category theory (continued)

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There is an underlying ordinary category  $\mathcal{C}_0$  with the same objects as  $\mathcal{C}$



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## Enriched category theory (continued)

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### Definition

A  $\mathcal{V}$ -category (or a *category enriched over  $\mathcal{V}$* )  $\mathcal{C}$  consists of

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- for each pair of objects  $(X, Y)$ , a *morphism object*  $\mathcal{C}(X, Y)$  in  $\mathcal{V}_0$  (instead of a set of morphisms  $X \rightarrow Y$ ),
- for each triple of objects  $(X, Y, Z)$ , a *composition morphism in  $\mathcal{V}_0$*

$$c_{X,Y,Z} : \mathcal{C}(Y, Z) \otimes \mathcal{C}(X, Y) \rightarrow \mathcal{C}(X, Z)$$

(replacing the usual composition) and

- for each object  $X$ , an *identity morphism in  $\mathcal{V}_0$*   $\mathbf{1} \rightarrow \mathcal{C}(X, X)$ , replacing the usual identity morphism  $X \rightarrow X$ .

There is an underlying ordinary category  $\mathcal{C}_0$  with the same objects as  $\mathcal{C}$  and morphism sets

$$\mathcal{C}_0(X, Y) = \mathcal{V}_0(\mathbf{1}, \mathcal{C}(X, Y)).$$



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# Enriched category theory (continued)

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## Enriched category theory (continued)

One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories

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## Enriched category theory (continued)

One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories and **enriched natural transformations** ( $\mathcal{V}$ -natural transformations) between them.



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## Enriched category theory (continued)

One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories and **enriched natural transformations** ( $\mathcal{V}$ -natural transformations) between them.

In this language, an ordinary category is enriched over  $Set$ .



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## Enriched category theory (continued)

One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories and **enriched natural transformations** ( $\mathcal{V}$ -natural transformations) between them.

In this language, an ordinary category is enriched over  $Set$ .

A **topological category** is one that is enriched over  $Top$ .



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## Enriched category theory (continued)

One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories and **enriched natural transformations** ( $\mathcal{V}$ -natural transformations) between them.

In this language, an ordinary category is enriched over  $Set$ .

A **topological category** is one that is enriched over  $Top$ .

A **simplicial category** is one that is enriched over  $Set_{\Delta}$ , the category of simplicial sets.



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## Enriched category theory (continued)

One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories and **enriched natural transformations** ( $\mathcal{V}$ -natural transformations) between them.

In this language, an ordinary category is enriched over  $Set$ .

A **topological category** is one that is enriched over  $Top$ .

A **simplicial category** is one that is enriched over  $Set_{\Delta}$ , the category of simplicial sets.

A symmetric monoidal category  $\mathcal{V}_0$  is **closed** if it enriched over itself.



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In this language, an ordinary category is enriched over  $Set$ .

A **topological category** is one that is enriched over  $Top$ .

A **simplicial category** is one that is enriched over  $Set_{\Delta}$ , the category of simplicial sets.

A symmetric monoidal category  $\mathcal{V}_0$  is **closed** if it enriched over itself. This means that for each pair of objects  $(X, Y)$



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One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories and **enriched natural transformations** ( $\mathcal{V}$ -natural transformations) between them.

In this language, an ordinary category is enriched over  $Set$ .

A **topological category** is one that is enriched over  $Top$ .

A **simplicial category** is one that is enriched over  $Set_{\Delta}$ , the category of simplicial sets.

A symmetric monoidal category  $\mathcal{V}_0$  is **closed** if it is enriched over itself. This means that for each pair of objects  $(X, Y)$  there is an **internal Hom object**  $\mathcal{V}(X, Y)$



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In this language, an ordinary category is enriched over  $Set$ .

A **topological category** is one that is enriched over  $Top$ .

A **simplicial category** is one that is enriched over  $Set_{\Delta}$ , the category of simplicial sets.

A symmetric monoidal category  $\mathcal{V}_0$  is **closed** if it is enriched over itself. This means that for each pair of objects  $(X, Y)$  there is an **internal Hom object**  $\mathcal{V}(X, Y)$  with natural isomorphisms

$$\mathcal{V}_0(X \otimes Y, Z) \cong \mathcal{V}_0(X, \mathcal{V}(Y, Z)).$$



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## Enriched category theory (continued)

One can define **enriched functors** ( $\mathcal{V}$ -functors) between  $\mathcal{V}$ -categories and **enriched natural transformations** ( $\mathcal{V}$ -natural transformations) between them.

In this language, an ordinary category is enriched over  $Set$ .

A **topological category** is one that is enriched over  $Top$ .

A **simplicial category** is one that is enriched over  $Set_{\Delta}$ , the category of simplicial sets.

A symmetric monoidal category  $\mathcal{V}_0$  is **closed** if it enriched over itself. This means that for each pair of objects  $(X, Y)$  there is an **internal Hom object**  $\mathcal{V}(X, Y)$  with natural isomorphisms

$$\mathcal{V}_0(X \otimes Y, Z) \cong \mathcal{V}_0(X, \mathcal{V}(Y, Z)).$$

The symmetric monoidal categories  $Set$ ,  $Top$ ,  $\mathcal{T}$  and  $Set_{\Delta}$  are each closed.



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## Spectra as enriched functors

Recall that a spectrum  $X$  was originally defined to be a sequence of pointed spaces  $\{X_n\}$



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## Spectra as enriched functors

Recall that a spectrum  $X$  was originally defined to be a sequence of pointed spaces  $\{X_n\}$  with structure maps  $\Sigma X_n \rightarrow X_{n+1}$ .



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# Spectra as enriched functors

Recall that a spectrum  $X$  was originally defined to be a sequence of pointed spaces  $\{X_n\}$  with structure maps  $\Sigma X_n \rightarrow X_{n+1}$ . We will redefine it to be an enriched  $\mathcal{T}$ -valued functor on a small  $\mathcal{T}$ -category  $\mathcal{I}^{\mathbf{N}}$ .



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### Definition

The indexing category  $\mathcal{I}^{\mathbf{N}}$  has natural numbers  $n \geq 0$  as objects with

$$\mathcal{I}^{\mathbf{N}}(m, n) = \begin{cases} S^{n-m} & \text{for } n \geq m \\ * & \text{otherwise.} \end{cases}$$



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$$\mathcal{I}^{\mathbf{N}}(m, n) = \begin{cases} S^{n-m} & \text{for } n \geq m \\ * & \text{otherwise.} \end{cases}$$

For  $m \leq n \leq p$ , the composition morphism

$$j_{m,n,p} : S^{p-n} \wedge S^{n-m} \rightarrow S^{p-m}$$

is the standard homeomorphism.



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## Spectra as enriched functors (continued)

We can define a spectrum  $X$  to be an enriched functor  
 $X : \mathcal{I}^{\mathbf{N}} \rightarrow \mathcal{T}$ .



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## Spectra as enriched functors (continued)

We can define a spectrum  $X$  to be an enriched functor  $X : \mathcal{I}^{\mathbf{N}} \rightarrow \mathcal{T}$ . We denote its value at  $n$  by  $X_n$ .



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## Spectra as enriched functors (continued)

We can define a spectrum  $X$  to be an enriched functor  $X : \mathcal{I}^{\mathbf{N}} \rightarrow \mathcal{T}$ . We denote its value at  $n$  by  $X_n$ . Functoriality means that for each  $m, n \geq 0$



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We can define a spectrum  $X$  to be an enriched functor  $X : \mathcal{I}^{\mathbf{N}} \rightarrow \mathcal{T}$ . We denote its value at  $n$  by  $X_n$ . Functoriality means that for each  $m, n \geq 0$  there is a continuous structure map

$$\epsilon_{m,n}^X : \mathcal{I}^{\mathbf{N}}(m, n) \wedge X_m \rightarrow X_n.$$



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## Spectra as enriched functors (continued)

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Since

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$$\mathcal{J}^{\mathbf{N}}(m, n) = \begin{cases} S^{n-m} & \text{for } n \geq m \\ * & \text{otherwise,} \end{cases}$$

for  $m \leq n$  we get the expected map  $\Sigma^{n-m} X_m \rightarrow X_n$ .



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### Definition

For  $m \geq 0$ , the *Yoneda spectrum*  $\mathcal{Y}^m = S^{-m}$  is given by



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For  $m \geq 0$ , the *Yoneda spectrum*  $\mathcal{Y}^m = S^{-m}$  is given by

$$(S^{-m})_n = \mathcal{I}^{\mathbf{N}}(m, n) = \begin{cases} S^{n-m} & \text{for } n \geq m \\ * & \text{otherwise.} \end{cases}$$



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In particular,  $S^{-0}$  is the sphere spectrum,



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In particular,  $S^{-0}$  is the sphere spectrum, and  $S^{-m}$  is its formal  $m$ th desuspension.



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## Spectra as enriched functors (continued)

**Warning** The category  $\mathcal{L}^{\mathbf{N}}$  is monoidal (under addition) but **not** symmetric monoidal.



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## Spectra as enriched functors (continued)

**Warning** The category  $\mathcal{S}^{\mathbf{N}}$  is monoidal (under addition) but **not** symmetric monoidal. It admits an embedding functor into

$\mathcal{T}$ ,



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## Spectra as enriched functors (continued)

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$$n \mapsto \mathcal{S}^{\mathbf{N}}(0, n) = S^n$$

$\mathcal{T}$  is symmetric monoidal, and there is a twist isomorphism

$$t : S^m \wedge S^n \rightarrow S^n \wedge S^m.$$



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However **this morphism is not in the image of the functor  $\mathcal{Y}^0$** .



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## Spectra as enriched functors (continued)

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## Spectra as enriched functors (continued)

**Warning** The category  $\mathcal{J}^{\mathbf{N}}$  is monoidal (under addition) but **not** symmetric monoidal. It admits an embedding functor into  $\mathcal{T}$ , namely the Yoneda functor  $\mathcal{Y}^0$  given by

$$n \mapsto \mathcal{J}^{\mathbf{N}}(0, n) = S^n$$

$\mathcal{T}$  is symmetric monoidal, and there is a twist isomorphism

$$t : S^m \wedge S^n \rightarrow S^n \wedge S^m.$$

However **this morphism is not in the image of the functor  $\mathcal{Y}^0$** . There is no twist isomorphism in  $\mathcal{J}^{\mathbf{N}}$ , so its monoidal structure is **not** symmetric.

This is the reason that the category of spectra  $Sp$  defined in this way



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## Spectra as enriched functors (continued)

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However **this morphism is not in the image of the functor  $\mathcal{Y}^0$** . There is no twist isomorphism in  $\mathcal{S}^{\mathbf{N}}$ , so its monoidal structure is **not** symmetric.

This is the reason that the category of spectra  $Sp$  defined in this way does not have a convenient smash product.



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This is the reason that the category of spectra  $Sp$  defined in this way does not have a convenient smash product. **This was a headache in the subject for decades!**



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## Defining the smash product of spectra

To repeat, spectra as originally defined do **not** have a convenient smash product.



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## Defining the smash product of spectra

To repeat, spectra as originally defined do **not** have a convenient smash product. A way around this was first discovered in 1993.



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To repeat, spectra as originally defined do **not** have a convenient smash product. A way around this was first discovered in 1993.



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An easier way was found a few years later.



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Mark  
Hovey



Brooke  
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Jeff  
Smith



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## Defining the smash product of spectra (continued)

The Hovey-Shipley-Smith approach was to **enlarge the indexing category**  $\mathcal{I}^{\mathbf{N}}$  to make it into a symmetric monoidal category  $\mathcal{I}^{\Sigma}$ .



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The Hovey-Shipley-Smith approach was to **enlarge the indexing category**  $\mathcal{I}^{\mathbf{N}}$  to make it into a symmetric monoidal category  $\mathcal{I}^{\Sigma}$ . Its objects are the natural numbers as before,



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For  $m \leq n$  we have

$$\mathcal{I}^{\Sigma}(m, n) := \Sigma_{n+} \wedge_{\Sigma_{n-m}} S^{n-m}.$$



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$$[m] \hookrightarrow [n]$$

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This means we have the symmetry morphism

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This means we have the symmetry morphism

$$S^m \wedge S^n \rightarrow S^n \wedge S^m$$

that we were missing before, so  $\mathcal{J}^{\Sigma}$  is symmetric monoidal.

## Defining the smash product of spectra (continued)

A **symmetric spectrum** is an  $\mathcal{T}$ -enriched functor  $\mathcal{J}^\Sigma \rightarrow \mathcal{T}$ .  
Note that



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A **symmetric spectrum** is an  $\mathcal{T}$ -enriched functor  $\mathcal{J}^\Sigma \rightarrow \mathcal{T}$ .  
Note that

- the category of pointed topological spaces  $\mathcal{T}$  is closed symmetric monoidal, and



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Now for some categorical magic!



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### Day Convolution Theorem (1970)

*Let  $\mathcal{V}$  be a closed symmetric monoidal category,*



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Now for some categorical magic!

### Day Convolution Theorem (1970)

*Let  $\mathcal{V}$  be a closed symmetric monoidal category, and let  $\mathcal{J}$  be a symmetric monoidal  $\mathcal{V}$ -category.*



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### Day Convolution Theorem (1970)

Let  $\mathcal{V}$  be a closed symmetric monoidal category, and let  $\mathcal{J}$  be a symmetric monoidal  $\mathcal{V}$ -category. Then the category of functors from  $\mathcal{J}$  to  $\mathcal{V}$  is **also** closed symmetric monoidal.



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## Defining the smash product of spectra (continued)

Model categories  
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How does this work?

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\mathcal{J}^{\Sigma} \times \mathcal{J}^{\Sigma} \xrightarrow{X \times Y} \mathcal{T} \times \mathcal{T}$$

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$$\mathcal{J}^{\Sigma} \times \mathcal{J}^{\Sigma} \xrightarrow{X \times Y} \mathcal{T} \times \mathcal{T} \xrightarrow{\wedge} \mathcal{T}$$

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\mathcal{J}^{\Sigma} \times \mathcal{J}^{\Sigma} \xrightarrow{X \times Y} \mathcal{T} \times \mathcal{T} \xrightarrow{\wedge} \mathcal{T} \quad (A, B) \longmapsto A \wedge B$$

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\begin{array}{ccc} \mathcal{J}^\Sigma \times \mathcal{J}^\Sigma & \xrightarrow{X \times Y} & \mathcal{T} \times \mathcal{T} \xrightarrow{\wedge} \mathcal{T} \\ & \searrow + & \\ & & \mathcal{J}^\Sigma \end{array} \quad (A, B) \longmapsto A \wedge B$$

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\begin{array}{ccc} \mathcal{J}^\Sigma \times \mathcal{J}^\Sigma & \xrightarrow{X \times Y} & \mathcal{T} \times \mathcal{T} \xrightarrow{\wedge} \mathcal{T} \\ & \searrow & \\ & & \mathcal{J}^\Sigma \\ (m, n) & \xrightarrow{+} & m+n \end{array}$$

The diagram shows a commutative square. The top horizontal arrow is labeled  $X \times Y$ . The top right horizontal arrow is labeled  $\wedge$ . The top left horizontal arrow is labeled  $(A, B)$ . The top right horizontal arrow is labeled  $A \wedge B$ . The bottom horizontal arrow is labeled  $+$ . The bottom right horizontal arrow is labeled  $m+n$ . The top left horizontal arrow is labeled  $(m, n)$ . The top right horizontal arrow is labeled  $(A, B)$ . The top right horizontal arrow is labeled  $A \wedge B$ .

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\begin{array}{ccccc} & & (A, B) & \xrightarrow{\quad} & A \wedge B \\ \mathcal{J}^\Sigma \times \mathcal{J}^\Sigma & \xrightarrow{X \times Y} & \mathcal{T} \times \mathcal{T} & \xrightarrow{\wedge} & \mathcal{T} \\ & \searrow & & \nearrow & \\ & & \mathcal{J}^\Sigma & & \\ & \swarrow & & \nearrow & \\ (m, n) & \xrightarrow{+} & m+n & & \end{array}$$

The diagram illustrates the relationship between the smash product of spectra and the Day convolution. The top row shows the smash product of two spectra  $(A, B)$  resulting in  $A \wedge B$ . The middle row shows the smash product of two symmetric spectra  $\mathcal{J}^\Sigma \times \mathcal{J}^\Sigma$  resulting in  $\mathcal{T} \times \mathcal{T}$ , which then maps to  $\mathcal{T}$  via the smash product  $\wedge$ . The bottom row shows the addition of two symmetric spectra  $(m, n)$  resulting in  $m+n$ . A dashed red arrow labeled  $X \wedge Y$  connects  $\mathcal{J}^\Sigma$  to  $\mathcal{T}$ . A blue arrow labeled  $(m, n)$  connects  $(m, n)$  to  $m+n$ .

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\begin{array}{ccccc} & & (A, B) & \xrightarrow{\quad} & A \wedge B \\ & & \downarrow & & \downarrow \\ \mathcal{J}^\Sigma \times \mathcal{J}^\Sigma & \xrightarrow{X \times Y} & \mathcal{T} \times \mathcal{T} & \xrightarrow{\wedge} & \mathcal{T} \\ & \searrow & \downarrow & \nearrow & \downarrow \\ & & \mathcal{J}^\Sigma & \xrightarrow{X \wedge Y} & \mathcal{T} \\ & \searrow & \downarrow & & \downarrow \\ & & m+n & & \end{array}$$

The diagram shows a commutative square with a diagonal arrow. The top-left node is  $\mathcal{J}^\Sigma \times \mathcal{J}^\Sigma$ , the top-right is  $\mathcal{T} \times \mathcal{T}$ , the bottom-left is  $\mathcal{J}^\Sigma$ , and the bottom-right is  $\mathcal{T}$ . A blue arrow labeled  $(m, n)$  points from  $\mathcal{J}^\Sigma \times \mathcal{J}^\Sigma$  to  $\mathcal{J}^\Sigma$ . A blue arrow labeled  $+$  points from  $\mathcal{J}^\Sigma \times \mathcal{J}^\Sigma$  to  $m+n$ . A blue arrow labeled  $(A, B)$  points from  $\mathcal{T} \times \mathcal{T}$  to  $A \wedge B$ . A blue arrow labeled  $\wedge$  points from  $\mathcal{T} \times \mathcal{T}$  to  $\mathcal{T}$ . A red dashed arrow labeled  $X \wedge Y$  points from  $\mathcal{J}^\Sigma$  to  $\mathcal{T}$ .

The red arrow is a **left Kan extension**,

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\begin{array}{ccccc} & & (A, B) & \xrightarrow{\quad} & A \wedge B \\ \mathcal{J}^\Sigma \times \mathcal{J}^\Sigma & \xrightarrow{X \times Y} & \mathcal{T} \times \mathcal{T} & \xrightarrow{\wedge} & \mathcal{T} \\ & \searrow + & & \nearrow X \wedge Y & \\ (m, n) & \xrightarrow{\quad} & \mathcal{J}^\Sigma & & \\ & \searrow & & & m+n \end{array}$$

The red arrow is a **left Kan extension**, a categorical construction known to exist

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The red arrow is a **left Kan extension**, a categorical construction known to exist when the source category  $\mathcal{J}^\Sigma$  is small

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How does this work? Let  $X$  and  $Y$  be symmetric spectra. Then we have

$$\begin{array}{ccccc} & & (A, B) & \xrightarrow{\quad} & A \wedge B \\ & & \downarrow & & \downarrow \\ \mathcal{J}^\Sigma \times \mathcal{J}^\Sigma & \xrightarrow{X \times Y} & \mathcal{T} \times \mathcal{T} & \xrightarrow{\wedge} & \mathcal{T} \\ & \searrow & \downarrow & \nearrow & \uparrow \\ & & \mathcal{J}^\Sigma & & \\ & \swarrow & \downarrow & & \downarrow \\ (m, n) & \xrightarrow{+} & m+n & & \end{array}$$

The diagram illustrates the Day Convolution Theorem. It shows a commutative diagram with nodes  $\mathcal{J}^\Sigma \times \mathcal{J}^\Sigma$ ,  $\mathcal{T} \times \mathcal{T}$ ,  $\mathcal{J}^\Sigma$ ,  $m+n$ ,  $(A, B)$ , and  $A \wedge B$ . Arrows include  $X \times Y$ ,  $\wedge$ ,  $+$ ,  $X \wedge Y$  (dashed red), and  $\downarrow$  arrows. A blue arrow labeled  $(m, n)$  points from  $\mathcal{J}^\Sigma \times \mathcal{J}^\Sigma$  to  $m+n$ . A blue arrow labeled  $(A, B)$  points from  $(A, B)$  to  $A \wedge B$ . A red dashed arrow labeled  $X \wedge Y$  points from  $\mathcal{J}^\Sigma$  to  $\mathcal{T}$ .

The red arrow is a **left Kan extension**, a categorical construction known to exist when the source category  $\mathcal{J}^\Sigma$  is small and the target category  $\mathcal{T}$  is cocomplete.

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# Generalizations

The construction of symmetric spectra as functors

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The construction of symmetric spectra as functors from the symmetric monoidal  $\mathcal{T}$ -category  $\mathcal{I}^\Sigma$

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The construction of symmetric spectra as functors from the symmetric monoidal  $\mathcal{T}$ -category  $\mathcal{I}^\Sigma$  to the closed symmetric monoidal category  $\mathcal{T}$



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The construction of symmetric spectra as functors from the symmetric monoidal  $\mathcal{T}$ -category  $\mathcal{I}^\Sigma$  to the closed symmetric monoidal category  $\mathcal{T}$  can be generalized in three different ways.



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The construction of symmetric spectra as functors from the symmetric monoidal  $\mathcal{T}$ -category  $\mathcal{I}^\Sigma$  to the closed symmetric monoidal category  $\mathcal{T}$  can be generalized in three different ways.

### Generalizing the target category



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The construction of symmetric spectra as functors from the symmetric monoidal  $\mathcal{T}$ -category  $\mathcal{I}^\Sigma$  to the closed symmetric monoidal category  $\mathcal{T}$  can be generalized in three different ways.

### Generalizing the target category

For each finite group  $G$ ,



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The construction of symmetric spectra as functors from the symmetric monoidal  $\mathcal{T}$ -category  $\mathcal{J}^\Sigma$  to the closed symmetric monoidal category  $\mathcal{T}$  can be generalized in three different ways.

### Generalizing the target category

For each finite group  $G$ , we can replace the category  $\mathcal{T}$  of pointed topological spaces



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### Generalizing the target category

For each finite group  $G$ , we can replace the category  $\mathcal{T}$  of pointed topological spaces with  $\mathcal{T}^G$ , the category of pointed  $G$ -spaces and equivariant maps.



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### Generalizing the target category

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It is enriched over  $\mathcal{T}$ . It has a model structure in which fibrations and weak equivalences are equivariant maps  $X \rightarrow Y$



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It is enriched over  $\mathcal{T}$ . It has a model structure in which fibrations and weak equivalences are equivariant maps  $X \rightarrow Y$  inducing ordinary fibrations and weak equivalences of fixed point sets  $X^H \rightarrow Y^H$  for each subgroup  $H \subseteq G$ .



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$$\mathcal{I}^G = \bigcup_{H \subseteq G} (G/H)_+ \wedge \mathcal{I}_+ \quad \text{and} \quad \mathcal{J}^G = \bigcup_{H \subseteq G} (G/H)_+ \wedge \mathcal{J}_+.$$



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## Generalizing the indexing category

We can replace  $\mathcal{J}^\Sigma$  by an orthogonal analog  $\mathcal{J}^O$ , the **Mandell-May category**.



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## Generalizing the indexing category

We can replace  $\mathcal{J}^\Sigma$  by an orthogonal analog  $\mathcal{J}^\mathcal{O}$ , the **Mandell-May category**. Here the objects are still natural numbers, and  $\mathcal{J}^\mathcal{O}(m, n)$  is a point for  $m > n$ .



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For a finite group  $G$  we can define a similar category  $\mathcal{J}^G$



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## Generalizing the indexing category

We can replace  $\mathcal{J}^\Sigma$  by an orthogonal analog  $\mathcal{J}^O$ , the **Mandell-May category**. Here the objects are still natural numbers, and  $\mathcal{J}^O(m, n)$  is a point for  $m > n$ . For  $m \leq n$ ,  $\mathcal{J}^O(m, n)$  is a wedge of copies of  $S^{n-m}$  parametrized by **orthogonal embeddings** of  $\mathbf{R}^m$  into  $\mathbf{R}^n$ .  $\mathcal{T}$ -valued functors on it are called **orthogonal spectra**.

For a finite group  $G$  we can define a similar category  $\mathcal{J}^G$  in which the objects are finite dimensional orthogonal real representations  $V$  of  $G$ .



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For a finite group  $G$  we can define a similar category  $\mathcal{J}^G$  in which the objects are finite dimensional orthogonal real representations  $V$  of  $G$ . It is enriched over  $\mathcal{T}^G$ .



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For a finite group  $G$  we can define a similar category  $\mathcal{J}^G$  in which the objects are finite dimensional orthogonal real representations  $V$  of  $G$ . It is enriched over  $\mathcal{T}^G$ .  $\mathcal{T}^G$ -valued functors on it are called **orthogonal  $G$ -spectra**.



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We can replace  $\mathcal{J}^\Sigma$  by an orthogonal analog  $\mathcal{J}^\mathcal{O}$ , the **Mandell-May category**. Here the objects are still natural numbers, and  $\mathcal{J}^\mathcal{O}(m, n)$  is a point for  $m > n$ . For  $m \leq n$ ,  $\mathcal{J}^\mathcal{O}(m, n)$  is a wedge of copies of  $S^{n-m}$  parametrized by **orthogonal embeddings** of  $\mathbf{R}^m$  into  $\mathbf{R}^n$ .  $\mathcal{T}$ -valued functors on it are called **orthogonal spectra**.

For a finite group  $G$  we can define a similar category  $\mathcal{J}^G$  in which the objects are finite dimensional orthogonal real representations  $V$  of  $G$ . It is enriched over  $\mathcal{T}^G$ .  $\mathcal{T}^G$ -valued functors on it are called **orthogonal  $G$ -spectra**.

In each case one has a smash product of spectra defined using the Day Convolution as before.



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# Generalizing the model structure

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We have now seen four categories of spectra,

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## Generalizing the model structure

We have now seen four categories of spectra, each defined as the category of enriched functors



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We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$



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We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ .



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We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ . We denote such a functor category by  $[\mathcal{I}, \mathcal{M}]$ .



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We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ . We denote such a functor category by  $[\mathcal{I}, \mathcal{M}]$ . Given a spectrum  $X$  and an object  $V$  in  $\mathcal{I}$ ,



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We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ . We denote such a functor category by  $[\mathcal{I}, \mathcal{M}]$ . Given a spectrum  $X$  and an object  $V$  in  $\mathcal{I}$ , we denote the value of  $X$  on  $V$  by  $X_V$ .

Our categories are



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We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ . We denote such a functor category by  $[\mathcal{I}, \mathcal{M}]$ . Given a spectrum  $X$  and an object  $V$  in  $\mathcal{I}$ , we denote the value of  $X$  on  $V$  by  $X_V$ .

Our categories are

- $Sp = [\mathcal{I}^{\mathbf{N}}, \mathcal{T}]$ ,



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## Generalizing the model structure

We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ . We denote such a functor category by  $[\mathcal{I}, \mathcal{M}]$ . Given a spectrum  $X$  and an object  $V$  in  $\mathcal{I}$ , we denote the value of  $X$  on  $V$  by  $X_V$ .

Our categories are

- $Sp = [\mathcal{I}^{\mathbf{N}}, \mathcal{T}]$ , the original category of spectra,



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We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ . We denote such a functor category by  $[\mathcal{I}, \mathcal{M}]$ . Given a spectrum  $X$  and an object  $V$  in  $\mathcal{I}$ , we denote the value of  $X$  on  $V$  by  $X_V$ .

Our categories are

- $Sp = [\mathcal{I}^{\mathbf{N}}, \mathcal{T}]$ , the original category of spectra,
- $Sp^{\Sigma} = [\mathcal{I}^{\Sigma}, \mathcal{T}]$ ,



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Our categories are

- $Sp = [\mathcal{J}^{\mathbf{N}}, \mathcal{T}]$ , the original category of spectra,
- $Sp^{\Sigma} = [\mathcal{J}^{\Sigma}, \mathcal{T}]$ , the category of symmetric spectra of Hovey-Shiplay-Smith,



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Our categories are

- $Sp = [\mathcal{I}^{\mathbf{N}}, \mathcal{T}]$ , the original category of spectra,
- $Sp^{\Sigma} = [\mathcal{I}^{\Sigma}, \mathcal{T}]$ , the category of symmetric spectra of Hovey-Shipley-Smith,
- $Sp^{\mathbf{O}} = [\mathcal{I}^{\mathbf{O}}, \mathcal{T}]$ ,



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## Generalizing the model structure

We have now seen four categories of spectra, each defined as the category of enriched functors from an enriched indexing category  $\mathcal{I}$  to a pointed topological model category  $\mathcal{M}$ . We denote such a functor category by  $[\mathcal{I}, \mathcal{M}]$ . Given a spectrum  $X$  and an object  $V$  in  $\mathcal{I}$ , we denote the value of  $X$  on  $V$  by  $X_V$ .

Our categories are

- $Sp = [\mathcal{I}^{\mathbf{N}}, \mathcal{T}]$ , the original category of spectra,
- $Sp^{\Sigma} = [\mathcal{I}^{\Sigma}, \mathcal{T}]$ , the category of symmetric spectra of Hovey-Shipley-Smith,
- $Sp^{\mathbf{O}} = [\mathcal{I}^{\mathbf{O}}, \mathcal{T}]$ , the category of orthogonal spectra of Mandell-May, and



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We will refer to an object in any but the first of these as a **structured spectrum**.



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We will refer to an object in any but the first of these as a **structured spectrum**. Each category of structured spectra has a closed symmetric monoidal smash product



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We will refer to an object in any but the first of these as a **structured spectrum**. Each category of structured spectra has a closed symmetric monoidal smash product defined using the Day Convolution.



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# Generalizing the indexing category (continued)

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For each object  $V$  in the indexing category  $\mathcal{I}$ ,



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For each object  $V$  in the indexing category  $\mathcal{I}$ , we define the

Yoneda spectrum  $S^{-V} = \mathcal{J}^V$  by



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### Definition

Let  $\mathcal{I}$  and  $\mathcal{J}$  be cofibrant generating sets for  $\mathcal{M}$ .

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A map  $f : X \rightarrow Y$  is a *projective (or strict) weak equivalence* if  $f_V$  is a weak equivalence in  $\mathcal{M}$  for each  $V$ .

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We can obtain the **stable model structure** on the category of spectra  $[\mathcal{J}, \mathcal{M}]$  from the projective one by Bousfield localization.



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The  $W$ th component of the map  $s_V$  is

$$j_{0, V, W} : \mathcal{J}(V, W) \wedge \mathcal{J}(0, V) \rightarrow \mathcal{J}(0, W),$$

a composition morphism in  $\mathcal{J}$ .



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谢谢  
Thank you

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