

# Talk 5, 6

## Basics of Spectra

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# “The first category of spectra”

- (Fraudenthal's suspension theorem)

Let  $Y$  be  $(n-1)$ -connected space. Then  $[X, Y] \rightarrow [\Sigma X, \Sigma Y]$  is iso if  $\dim(X) < 2n-1$ , and surjection if  $\dim(X) = 2n-1$ .

- SW category (Spanier–Whitehead, 1953):

- objects: pointed CW-complex

- morphisms:  $\text{Hom}(X, Y) := \varinjlim_q [\Sigma^q X, \Sigma^q Y]$

- More generally, we can include the non-connective objects:

- objects:  $(X, n)$   
 $\in$  pointed CW-complex  
 $\in \mathbb{Z}$

- morphisms:  $\text{Hom}((X, n), (Y, m)) := \varinjlim_q [\Sigma^{q+n} X, \Sigma^{q+m} Y]$

- Pros: ① Convenient for duality  
 ② Triangulated category

- Cons: ① Don't have all coproducts

②  $\text{Ho}(\text{Top}_*) \rightarrow \text{SW}$  doesn't preserve coproducts.

# Recall: how did spectra arise

- Roughly speaking, a spectrum consists of the following data:
  - $E_n \in \text{Top}_*$  ,  $n \in \mathbb{Z}$
  - structure maps:  $\sigma_n : \Sigma E_n \rightarrow E_{n+1}$
- They represent cohomology theories.

Theorem (Brown, 1962)

$\hat{E}^*(-)$  reduced generalized cohomology theory.

Then there exists an  $\Omega$ -spec s.t.

$$\hat{E}^n(X) \cong [X, E_n]$$

adjoint of  $\sigma_n$   
 $E_n \rightarrow \Omega E_{n+1}$  is a w.e.

- Examples:  $HA$  ,  $KU$  ,  $MU$  ,  $S^0$

# Why spectra

- Spectra and cohomology theories they are not equivalent. There exist “hyperphantom maps”:  $f: E \rightarrow F$  map of spectra s.t.
  - ①  $f \neq 0$
  - ②  $f$  induces  $0$  on cohomology theories.
- The category has point-set models to record the geometric data.
- Has good structures after passing to the homotopy theory.

# Multiplicative enhancement

- Multiplicative cohomology theories: In many examples, we have

$$\hat{E}^n(X) \otimes \hat{E}^m(Y) \rightarrow \hat{E}^{m+n}(X \wedge Y)$$

- cup product
- tensor of vector bundles (KU)
- cartesian product of manifolds (MU)

- In view of Brown's theorem:

$$[X, E_n] \otimes [Y, E_m] \rightarrow [X \wedge Y, E_n \wedge E_m] \xrightarrow{\text{diag}} [X, E_n \wedge E_m]$$
$$\xrightarrow{\text{M}_{n+m}} [X, E_{n+m}]$$

# Towards a good smash product

- Want a good symmetric monoidal category of spectra.
  - (Aside) Symmetric monoidal category  $(\mathcal{C}, \otimes, U)$ :
    - Tensor:  $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$
    - Unit:  $U \in \mathcal{C}$
    - unital & associative & commutative diagrams.
    - Closed symmetric monoidal:  $\text{Hom}_{\mathcal{C}}(A \otimes B, C) \cong \text{Hom}_{\mathcal{C}}(A, \text{Hom}_{\mathcal{C}}(B, C))$
  - A naive try:  $(X \wedge Y)_n \stackrel{?}{=} X_n \wedge Y_n$  problem: str. map hard to define
  - Adams' "handcrafted"  $\wedge$ :  
 $\Sigma (X \wedge Y)_n \rightarrow (X \wedge Y)_{n+1}$   
commutative & associative up to homotopy
  - $\text{Ho}(S)$ : closed sym monoidal category.

# An obstacle: Lewis' theorem

## Theorem (Lewis, 1990)

There is no symmetric monoidal category  $(S, \wedge, U)$  of spectra with the following properties:

- There exists a lax monoidal adjunction  $\Sigma^\infty : Top_* \rightleftarrows S : \Omega^\infty$ .
- The canonical map  $\Sigma^\infty S^0 \rightarrow U$  is an isomorphism.
- Let  $Q$  be the stabilization functor  $QX := \text{colim}_n \Omega^n \Sigma^n(X)$ . There is a natural weak homotopy equivalence  $f$ :

$$\begin{array}{ccc} X & \xrightarrow{\eta} & \Omega^\infty \Sigma^\infty(X) \\ & \searrow \iota & \downarrow f \\ & & QX \end{array} \quad .$$

# A sketch proof of Lewis' theorem

- 1) Adjunction =  $\Sigma^\infty + \Omega^\infty$
- 2)  $\Sigma^\infty S^0$  is the unit
- 3)  $\Omega^\infty \Sigma^\infty X \xrightarrow{\cong} \Omega X$

Sketch proof:

- $\Sigma^\infty S^0$  is the unit  $\Rightarrow \Sigma^\infty S^0$  is a comm. monoid.
- $\Omega^\infty$  lax sym monoidal  $\Rightarrow \Omega^\infty \Sigma^\infty S^0$  is a comm. monoid.
- $\Omega^\infty \Sigma^\infty S^0 \cong \Omega S^0 \Rightarrow \Omega S^0$  is comm. monoid

Moore's th'm



$$\Omega S^0 \triangleq \prod_{\alpha} H A_{\alpha}$$

contradiction!



# Compromises: Different models

- $S$ -modules  $\mathcal{M}_S$  (Elmendorf–Kriz–Mandell–May, 1997)
- Orthogonal spectra  $Sp^{\mathbf{O}}$  (Mandell–May–Schwede–Shipley, 1998)
- Symmetric spectra  $Sp^{\Sigma}$  (Hovey–Shipley–Smith, 2000)

# Orthogonal spectra $Sp^0$

- Topological category  $\mathcal{O}$ :

- objects: finite dim inner product vector spaces

- morphisms:  $\mathcal{O}(U, W) := \text{Th}(\{U, W\})$  *vector bundle over  $\perp(U, W)$*   
 $\{ (w, \varphi) \mid w \in W, w \perp \varphi(U) \}$

*space of all linear isometric embeddings  $U \rightarrow W$*

- Definition: An orthogonal spectra is a continuous functor  $E: \mathcal{O} \rightarrow \text{Top}_*$ . Morphisms are natural transformations.

- Smash product:

Day convolution.



*$\rightsquigarrow E \wedge F$  (the dotted arrow) (has concrete formula)*

- Weak equivalences:

Stable  $\pi_{n+1}$ -equivalences :  $\pi_n(E) := \text{colim}_V [S^{\dim(V)+n}, E(V)]$

- The third property in Lewis' theorem fails.

$$\Sigma^\infty S^0 = \{ S^0, S^1, S^2, S^3, \dots \}$$

# EKMM spectra

- Idea: make things coordinate-free. Fix a universe  $U \cong \mathbb{R}^\infty$ .

- Definition: A **prespectrum**  $E$  is the structure consisting of

- $E(U) \in \text{Top}_*$ ,  $\forall$  inner <sup>finite dim</sup> product v. s.  $V \subseteq U$
- $\sigma_{V,W} : \Sigma^{W-V} E(V) \rightarrow E(W)$ ,  $\forall V \subseteq W$

- Definition:

$E$  is a (Lewis–May–Steinberger) **spectrum** if  $\sigma_{V,W}$  is a homeomorphism,  $\forall V \subseteq W \subseteq U$ .

- Adjunctions:  $\text{Top}_* \begin{array}{c} \xrightarrow{\Sigma^\infty} \\ \perp \\ \xleftarrow{\Omega^\infty} \end{array} \text{PS} \begin{array}{c} \xrightarrow{\mathcal{L} \text{ spectrification}} \\ \perp \\ \xleftarrow{\mathcal{U} \text{ forgetful functor}} \end{array} \text{SU}$

# EKMM smash product: the first try

$$W \longleftarrow (V, V')$$

$$U \xleftarrow[\cong]{\alpha} U \oplus U$$

- The first try:  $E \wedge F(W) = E \wedge F(V, V') = E(V) \wedge F(V')$   
 $\swarrow$   
 $\hookrightarrow$  indexed over  $U \oplus U$
- Problem: Depends on  $\alpha$
- Fix?: The space of all  $U \oplus U \rightarrow U$  is contractible.  
 $\rightsquigarrow$  use all choices
- Linear isometry operad  $\mathcal{L}(n) := \mathcal{L}(U^{\oplus n}, U)$   
 $\mathcal{L}(2) =$  the space of all choices  $U \oplus U \rightarrow U$

# EKMM smash product: the second try

- Idea: replace a choice  $\{U \oplus U \rightarrow U\} \in \mathcal{L}(2)$  by all choices  $\mathcal{L}(2) = \mathcal{L}(U \oplus U, U)$ .
- The second try:  $\mathcal{L}(2) \rtimes (E \bar{\wedge} F) := \bigcup_{\alpha \in \mathcal{L}(2)} E \wedge_{\alpha} F$  (twisted half smash product)
- Problem: *non associative*.  $\mathcal{L}(1) \rtimes E := \bigcup_{\alpha \in \mathcal{L}(1)} E$
- Fix?: Quotient out the duplicated copies

$$E \wedge_{\mathcal{L}} F = \mathcal{L}(2) \rtimes_{\mathcal{L}(1) \times \mathcal{L}(1)} (E \bar{\wedge} F)$$

- $\mathcal{L}(2) \times \mathcal{L}(1) \times \mathcal{L}(1) \rightarrow \mathcal{L}(2)$ . • need  $\mathcal{L}(1) \curvearrowright E$   

$$\begin{array}{ccccccc} u \oplus u \rightarrow u & \xrightarrow{g} & u & \xrightarrow{h} & u & \mapsto & f \circ (g \oplus h) \\ u \rightarrow u & & u \rightarrow u & & & & \end{array}$$
 $\mathcal{L}(1) \curvearrowright F$
- Definition:

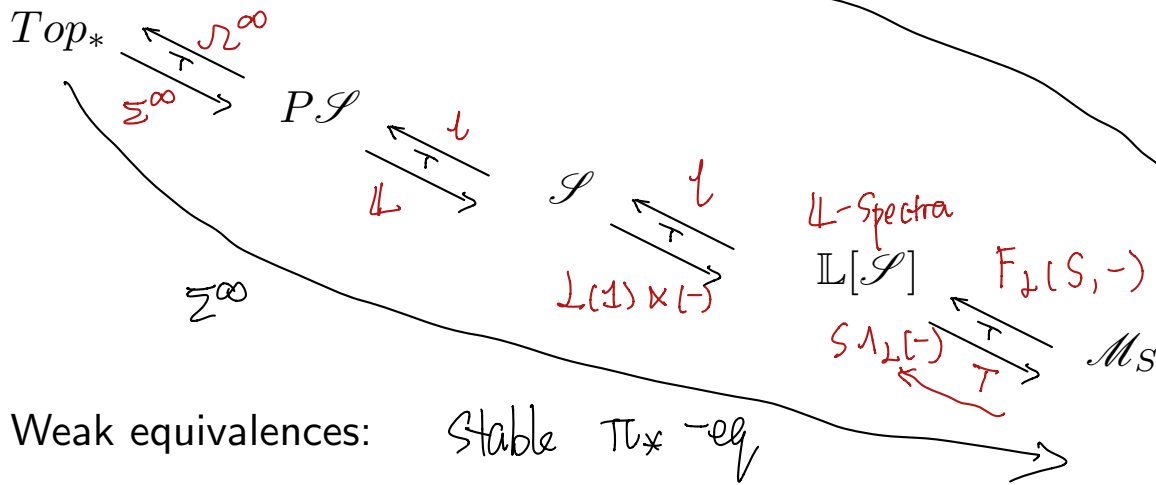
An  $\mathcal{L}$ -spec is LMS spec with an action of  $\mathcal{L}(1)$ .

# EKMM smash product: the last step

$\mathcal{M}_S$   $S$ -modules  
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- Idea: make  $\wedge_{\mathcal{L}}$  unital by restricting to  $\{ \text{all } E \text{ with } E \wedge_{\mathcal{L}} S \cong E. \}$

- Comparison:  $\leftarrow$



- Weak equivalences:  $\text{stable } \Pi_* \text{-eq}$
- The third property in Lewis' theorem fails.

(ref: compromises forced by Lewis' th'm Elmendorf).

# Symmetric spectra $Sp^\Sigma$

- Definition: The category of symmetric spectra

- objects: Equivariant spaces  $X_n^{\Sigma_n}$ ,  $\forall n \in \mathbb{N}$ , str. maps  $\Sigma X_n \rightarrow X_{n+1}$  with equivariance
- morphisms: equivariant maps levelwise.

$\Sigma^m X_n \rightarrow X_{m+n}$  is  $\Sigma_m \times \Sigma_n$  equivariant.

- Smash product:

- The first step:  $X \otimes Y$   
By Day convolution

$$\begin{array}{ccc} \Sigma_p \times \Sigma_q & \xrightarrow{X \wedge Y} & Top_* \\ \downarrow & & \searrow \\ \Sigma_{p+q} & & X \otimes Y \end{array}$$

- Key fact:  $\mathbb{1} \otimes S^0 =: S$  is a comm monoid in  $(Sp^\Sigma, \otimes, S)$

②  $\{Sym\ Spec\} \simeq \{modules\ over\ S\}$

- $X \wedge Y := X \otimes_S Y$

- Warning: If <sup>we</sup> take stable  $\pi_*$  to be the w.e.  $\Rightarrow$  too many homotopy <sup>type.</sup>

Need to take weaker replacement.

- The third property in Lewis' theorem fails.

# Comparison

Differences:

- Orthogonal spectra  $Sp^0$ : model (modified) for Hill-Hopkins-Ravenel
- EKMM spectra  $\mathcal{M}_S$ : hard to define  
 $\mathcal{R}^\infty$  records  $\partial$ th. <sup>space</sup> information  
 All obj are fibrant  $S$  is not w/fibrant
- Symmetric spectra  $Sp^\Sigma$ : Stable  $\pi_*$  not w.e.  
 (hard to do equivariant thry)  
 (Shipley)  $\exists$  convenient model str. on comm. <sup>inj</sup> obj's.

Quillen equivalences:

- (MMSS)  $Sp^\Sigma \xrightleftharpoons[\text{of Q.e.}]{\text{zigzag}} Sp^0$
- (Schwede)  $Sp^\Sigma \xrightleftharpoons[\text{Q.e.}]{} \mathcal{M}_S$
- (Schwede-Shipley)  $Sp^\Sigma \xrightleftharpoons[\text{Q.e.}]{} \text{any 'correct' cat of spec}$



# Some properties

- $\text{Ho}(S)$  is triangulated.
  - (Aside) Triangulated category  $(\mathcal{C}, [1])$ :
    - translation:  $[1] : \mathcal{C} \rightarrow \mathcal{C}$
    - distinguished triangles:  $\{X \rightarrow Y \rightarrow Z \rightarrow X[1]\}$  + axioms
    - An familiar example:  $\mathcal{D}(\mathbb{Z})$
- Fiber sequences are cofiber sequences and vice versa.

# The $\infty$ -treatment: the abstract definition

- $\mathcal{S}_*$ : the  $\infty$ -category of spaces.

- Definition:  $\Omega X := \lim \left( \begin{array}{c} * \\ \downarrow \\ * \rightarrow X \end{array} \right) \quad \Omega : \mathcal{L}_X \rightarrow \mathcal{L}_*$

- Definition: The  $\infty$ -category  $\mathrm{Sp}$  is

$$\varprojlim ( \dots \rightrightarrows \mathcal{S}_* \xrightarrow{\Omega} \mathcal{S}_* )$$

- Works more generally for  $\mathcal{C}$  with finite limits

1)  $\mathcal{L}_* := \mathcal{C}^{*/}$  the pointed cat

2) define  $\Omega : \mathcal{L}_X \rightarrow \mathcal{L}_*$

2) take  $\mathrm{Sp}(\mathcal{C}) := \varprojlim ( \dots \rightrightarrows \mathcal{L}_* \xrightarrow{\Omega} \mathcal{L}_* )$

# A concrete construction via excisive functors

We have a more concrete construction via excisive functors

- $\mathcal{C}$ : an  $\infty$ -category with finite limits.
- $\mathcal{S}_*^{\text{fin}}$ :  $\infty$ -cat of finite spaces
- Definition:

A spectrum object in  $\mathcal{C}$  is a functor  $F : \mathcal{S}_*^{\text{fin}} \rightarrow \mathcal{C}$  such that is

- excisive: sends pushout squares to pullback squares
- reduced: sends terminal objs to terminal objs

# (Continued)

- When  $F : \mathcal{S}_*^{\text{fin}} \rightarrow \mathcal{C}$  reduced and excisive:

$$\begin{array}{ccc}
 S^n \longrightarrow * & \xrightarrow{F} & F(S^n) \longrightarrow * \\
 \downarrow & \rightsquigarrow & \downarrow \perp \\
 * \longrightarrow S^{n+1} & & * \longrightarrow F(S^{n+1})
 \end{array}
 \quad \rightsquigarrow \quad
 \begin{array}{ccc}
 F(S^n) = \Omega F(S^{n+1}) & & \\
 \text{Compare} & & \\
 E_n \xrightarrow{\cong} \Omega E_{n+1} & & 
 \end{array}$$

- Properties (Lurie, Higher Algebra):

- Adjunction:  $\mathcal{C}$  presentable.  $\Omega^\infty$  admits a left adjoint:

$$\Omega^\infty : \text{Sp}(\mathcal{C}) \rightleftarrows \mathcal{C} : \Sigma^\infty$$

- Universal property:  $\mathcal{C}, \mathcal{D}$  presentable,  $\mathcal{D}$  stable.

$$\text{Pr}^L(\text{Sp}(\mathcal{C}), \mathcal{D}) \xrightarrow{\cong} \text{Pr}^L(\mathcal{C}, \mathcal{D}) \quad + \text{ the dual statement.}$$

- Agrees with the model category definition.

# Stable $\infty$ -category

- Definition: An  $\infty$ -category  $\mathcal{C}$  is stable if:
  - has zero obj
  - Every morph admits a fiber & cofiber
  - fib seq  $\Leftrightarrow$  a cof seq
- (Lurie)  $\mathcal{C}$ : a stable  $\infty$ -category.  $\mathrm{Ho}(\mathcal{C})$  has the structure of a triangulated category.

Thank you!