## Complex Orientation & Formal Groups

#### Yutao Liu

University of Chicago

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## Orientations on manifolds



- Orientation on manifold: continuous choice of normal vectors
- Let M be a *n*-dim, closed manifold.  $x \in M$ .
- Normal vectors at  $x \iff$  generators of  $H_n(M, M x) \cong \mathbb{Z}$ .

#### Definition

An **orientation** on M is an element in  $H_n(M)$  which is sent to a generator by the induced map  $H_n(M) \to H_n(M, M - x) \cong \mathbb{Z}$  for any  $x \in M$ .

### Orientations on vector bundles

- Let  $V \rightarrow M$  is an *n*-dim vector bundle.
- Let V<sub>x</sub> be the ventor space over x ∈ M.
   "Local orientation" ⇔ H<sub>n</sub>(V<sub>x</sub>, V<sub>x</sub> − x) ≅ Z.
- Notice that  $H_n(V_x, V_x x) \cong \widetilde{H}_n(Th(V_x \to \{x\}))$ natural inclusion  $Th(V_x) \hookrightarrow Th(V)$

#### Definition

An **orientation** on  $V \to M$  is an element in  $\widetilde{H}^n(Th(V))$  which is sent to generator by the induced map  $\widetilde{H}^n(Th(M)) \to \widetilde{H}^n(Th(V_x)) \cong \mathbb{Z}$  for any  $x \in M$ . Such element is called a **Thom class**.

#### Thom isomorphism

Assume that  $V \to M$  is oriented with Thom class  $c \in \widetilde{H}^n(Th(V))$ . The following composition is an isomorphism:

$$H^*(M) \cong H^*(V) \xrightarrow{c \smile (-)} H^{*+n}(V, V - M) \cong \widetilde{H}^{*+n}(Th(V))$$

Let E be a commutative ring spectrum.

#### Definition

An *E*-orientation on  $V \to M$  is an element in  $\tilde{E}^n(Th(V))$  which is sent to generator (as  $\pi_0 E$ -modules) by the induced map

$$\widetilde{E}^n(Th(M)) o \widetilde{E}^n(Th(V_x)) = \widetilde{E}^n(S^n) \cong \pi_0 E$$

for any  $x \in M$ .

Classical orientation =  $H\mathbb{Z}$ -orientation

• Orientation on a manifold = orientation on its normal vector bundle.



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- Torus: Z-orientable & Z/2-orientable
   Klein bottle: not Z-orientable, but Z/2-orientable
- In fact, all connected real manifolds are  $\mathbb{Z}/2$ -orientable.
- $\implies$   $H^*(-;\mathbb{Z}/2)$  is "orientable"?

Idea: E is complex orientable = "all complex vector bundles are E-orientable" + "universal choice of E-orientation"

#### Definition

A complex orientation on E consists of one element  $c_V \in \widetilde{E}^{2n}(Th(V))$ for any  $n \in \mathbb{Z}^+$  and rank n complex vector bundle  $V \to M$ , such that (1) For any  $x \in M$ ,  $c_V$  is mapped into a generator by

$$\widetilde{E}^{2n}(Th(V)) o \widetilde{E}^{2n}(Th(V_x)) \cong \widetilde{E}^{2n}(S^{2n}) \cong \pi_0 E$$

(2) For any map  $f : N \to M$ ,  $f^*(c_V) = c_{f^*V}$ . (3) For different complex bundles  $V_1, V_2$  over X,  $c_{V_1 \oplus V_2} = c_{V_1} \cdot c_{V_2}$ .

## Special complex bundles

•  $\mathbb{C}P^n$  = "complex lines" in  $\mathbb{C}^{n+1}$ 

- Tautological bundle: O<sub>n</sub> := {(I, z) ∈ CP<sup>n</sup> × C<sup>n+1</sup> : z ∈ I}. The projection O<sub>n</sub> → CP<sup>n</sup> becomes a complex line bundle.
- $Th(\mathcal{O}_n) \simeq \mathbb{C}P^{n+1}$
- $\mathbb{C}P^0 \hookrightarrow \mathbb{C}P^1 \hookrightarrow \mathbb{C}P^2 \hookrightarrow ...$  induces  $\mathcal{O}_0 \hookrightarrow \mathcal{O}_1 \hookrightarrow \mathcal{O}_2 \hookrightarrow ...$ , which is exactly  $\mathbb{C}P^1 \hookrightarrow \mathbb{C}P^2 \hookrightarrow \mathbb{C}P^3 \hookrightarrow ...$
- *E*-Thom classes form a sequence in  $\dots \to \widetilde{E}^2(Th(\mathcal{O}_n)) \to \widetilde{E}^2(Th(\mathcal{O}_{n-1})) \to \dots \to \widetilde{E}^2(Th(\mathcal{O}_0)) \to \pi_0 E$
- which agrees with  $\dots \to \widetilde{E}^2(\mathbb{C}P^{n+1}) \to \widetilde{E}^2(\mathbb{C}P^n) \to \dots \to \widetilde{E}^2(\mathbb{C}P^1) \xrightarrow{\sim} \pi_0 E$
- Let  $n \to \infty$ , we obtain an element in  $\widetilde{E}^2(\mathbb{C}P^\infty)$ , which is sent to a generator by  $\widetilde{E}^2(\mathbb{C}P^\infty) \to \widetilde{E}^2(\mathbb{C}P^1) \cong \pi_0 E$

# An alternative definition

#### Theorem

There is a natural bijection between

(1) Complex orientations of E

(2) Classes  $u \in \tilde{E}^2(\mathbb{C}P^\infty)$  which restricts to an  $\pi_0 E$ -module generator of  $\tilde{E}^2(\mathbb{C}P^1) \cong \pi_0 E$ .

- Examples:
- Ordinary cohomology HZ:

   *H*<sup>2</sup>(ℂP<sup>∞</sup>) ≅ *H*<sup>2</sup>(ℂP<sup>1</sup>) ≅ ℤ

Complex K-theory KU KU<sup>0</sup>(X) = {isomorphism classes of complex vector bundles over X}. Bott periodicity: KU\*+2(X) = KU\*(X), KU<sup>0</sup>(ℂP<sup>1</sup>) = ℤ[O<sub>1</sub>]/(O<sub>1</sub> - 1)<sup>2</sup>. The generator of KU<sup>0</sup>(ℂP<sup>1</sup>) is represented by O<sub>1</sub> - 1. Choose O<sub>∞</sub> - 1 ∈ KU<sup>0</sup>(ℂP<sup>∞</sup>) as the complex orientation. (choice not unique)

## Obstruction theory

- Recall: complex orientation = pre-image of a generator through  $\widetilde{E}^2(\mathbb{C}P^\infty) \to \widetilde{E}^2(\mathbb{C}P^1)$
- $\iff$  extending  $\Sigma^{\infty} \mathbb{C}P^1_+ \to \Sigma^{-2}E$  to a map  $\Sigma^{\infty} \mathbb{C}P^{\infty}_+ \to \Sigma^{-2}E$
- $\iff$  extending the map from  $\mathbb{C}P^1$  to maps from  $\mathbb{C}P^2, \mathbb{C}P^3, ...$
- $\mathbb{C}P^2 = \mathbb{C}P^1 + 4$  cell cofiber sequence  $S^3 \to \mathbb{C}P^1_+ \to \mathbb{C}P^2_+$
- Apply maps to  $\Sigma^{-2}E$ : ...  $\leftarrow \pi_5 E \leftarrow \widetilde{E}^2(\mathbb{C}P^1) \leftarrow \widetilde{E}^2(\mathbb{C}P^2) \leftarrow ...$
- **Obstruction** in  $\pi_5 E$ : extension always exists if  $\pi_5 E = 0$
- Similarly, the obstruction of extending a map from CP<sup>n</sup> to a map from CP<sup>n+1</sup> is in π<sub>2n+3</sub>E.
- **Theorem:** *E* is complex orientable if its odd degree homotopy groups are trivial.
- Examples:  $\pi_* H\mathbb{Z} = \mathbb{Z}$ ,  $\pi_* KU = \mathbb{Z}[u^{\pm}]$  with |u| = 2.

# Formal groups

When further studying the structure of  $\tilde{E}^2(\mathbb{C}P^{\infty})$ , we obtain some algebraic object called a formal group.

### Definition

A formal group (law) over a ring R is a power series  $F(x, y) \in R[[X, Y]]$  such that

(1) 
$$F(x, y) = F(y, x)$$
  
(2)  $F(x, 0) = F(0, x) = x$   
(3)  $F(F(x, y), z) = F(x, F(y, z))$ 

- Let  $F_1, F_2$  be formal groups over R. A map of formal groups  $f: F_1 \to F_2$  is a power series  $f(x) \in R[[x]]$  such that f(0) = 0 and  $f(F_1(x, y)) = F_2(f(x), f(y))$ .
- f is an isomorphism if and only if f'(0) is a unit.
- Examples: Additive formal group  $G_a(x, y) = x + y$ Multiplicative formal group  $G_m(x, y) = x + y + xy$

### Atiyah-Hirzebruch spectral sequence

For any space X and cohomology theory E, there exists a spectral sequence

$$E_2^{p,q} = H^p(X; E^q(*)) \Rightarrow E^{p+q}(X)$$

- Input:  $H^*(X)$ ,  $\pi_*E \xrightarrow{AHSS}$  Output:  $E^*(X)$
- It works when E is complex orientable & X is a finite CW complex.
- When  $X = \mathbb{C}P^n$ ,  $E^*(\mathbb{C}P^n) = (\pi_*E)[t]/(t^{n+1})$
- Let  $n \to \infty$ ,  $E^*(\mathbb{C}P^{\infty}) = (\pi_*E)[[t]]$ We can choose  $t \in \widetilde{E}^2(\mathbb{C}P^{\infty})$  as the given complex orientation.
- Same computation on  $\mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty}$ :  $E^*(\mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty}) = (\pi_*E)[[x, y]]$
- Here x, y can be chosen as  $p_1^*(t)$  and  $p_2^*(t)$ .  $p_1, p_2 : \mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty} \to \mathbb{C}P^{\infty}$  are the projections.

- There is a natural product on  $\mathbb{C}P^{\infty}$ :
- $\mathbb{C}P^{\infty} \simeq BU(1)$ , which classifies complex line bundles.
- There is a map  $m: BU(1) \times BU(1) \rightarrow BU(1)$  representing tensor product between line bundles.
- $L_1, L_2$  are line bundles over X classified by  $f_1, f_2 : X \to BU(1)$ . Then  $L_1 \otimes L_2$  is classified by  $X \xrightarrow{f_1 \times f_2} BU(1) \times BU(1) \xrightarrow{m} BU(1)$
- Apply the *E*-cohomology:  $m^*: (\pi_* E)[[t]] = E^*(\mathbb{C}P^{\infty}) \to E^*(\mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty}) = (\pi_* E)[[x, y]]$
- Define a formal group by  $F(x, y) = m^*(t)$ .
- Different choices of orientations will give different, but isomorphic formal groups.

- Consider E = KU with the canonical orientation  $\mathcal{O}_{\infty} 1$
- Notice that  $\mathcal{O}_\infty \to \mathbb{C}P^\infty = BU(1)$  is the universal line bundle.
- Thus the map  $BU(1) \xrightarrow{\Delta} BU(1) \times BU(1) \xrightarrow{m} BU(1)$  classifies  $\mathcal{O}_{\infty} \otimes \mathcal{O}_{\infty}$
- Consider the map  $m^*: (\pi_*E)[[t]] = E^*(\mathbb{C}P^\infty) \to E^*(\mathbb{C}P^\infty \times \mathbb{C}P^\infty) = (\pi_*E)[[x, y]]$

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$$m^*(\mathcal{O}_\infty) = \mathcal{O}_\infty \otimes \mathcal{O}_\infty$$

- $m^*(t+1) = (x+1)(y+1) \Longrightarrow m^*(t) = x+y+xy$
- Multiplicative formal group  $G_m$  on KU

- Local orientation  $\iff$  local (co)homology
- Global orientation  $\iff H_n(M)$  or  $H^n(Th(V))$
- *E*-orientation = universal choice of *E*-orientations on all complex bundles
- ullet  $\Longrightarrow$  determined by all tautological line bundles
- $\Longrightarrow$  represented by an element in  $\widetilde{E}^2(\mathbb{C}P^\infty)$
- Formal group encodes the (co)product on  $E^*(\mathbb{C}P^\infty)$
- Coming next: universal example MU, more about formal groups