

iWoAT Summer School on Chromatic Homotopy  
Theory and Higher (Infinity-Categorical) Algebra

L4: 1-category theory of  $\infty$ -categories, II

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## Yoneda Lemma, 1-categorically:

Prop. For  $F: C^{\text{op}} \rightarrow \text{Set}$  in  $\text{Cat}$  and any  $x \in \text{ob } C$ ,

$$\phi_x: \text{Hom}_{\text{Fun}(C^{\text{op}}, \text{Set})}(\text{Hom}_C(-, x), F) \xrightarrow{\cong} F(x)$$
$$\eta \longmapsto \eta(\text{id}_x)$$

$$a_* = (g \longmapsto g^* a = a g) \longleftarrow a: \psi_x$$

This prop is proven effortlessly once we have produced a pointwise inverse  $\psi_x$  of  $\phi_x$  1-categorically b/c natural trans between ordinary cats can be **completely recovered from its values on objects**:

given  $\alpha: f_0 \Rightarrow f_1$  s.t.  $\alpha(c): f_0(c) \rightarrow f_1(c)$  is iso

for every object  $c$ , we get  $f_0 \Leftarrow f_1: \beta$  just by

$$\beta(c) = \alpha(c)^{-1}.$$

There are at least **two sources** of difficulties when we generalize this proof to  $\infty$ -cats as we saw in L2:

①  $n=1$ : an inverse of edges is **NOT** necessarily unique

②  $n>1$ : one also needs to define the functor in **higher dimensions**!

It is remarkable that we have this "pointwise criterion for isomorphisms" in  $\mathcal{G}at$ :

For  $C \in \mathcal{G}at$  and  $X \in sSet$ ,

an edge in  $Fun(X, C)$  is an iso iff it is a pointwise isomorphism/equivalence.

- What is  $Fun(X, C)$  and is it a  $\mathcal{G}at$ ?
- This result is a corollary of today.

What we also observed 1-categorically:

$\mathcal{P}(C) = Fun(C^{op}, Set)$ , the presheaf 1-cat on  $C$ .

$$Hom_{\mathcal{P}(C)}(Hom_C(-, x), Hom_C(-, y)) \xrightarrow{\cong} Hom_C(x, y)$$

$$a_* = (g \mapsto g^* a = a g) \longleftrightarrow a$$

Cor.  $C \rightarrow \mathcal{P}(C)$  is a full faithful embedding.

$$x \mapsto Hom_C(-, x)$$

$\swarrow$   $\infty$ -cat of  $\infty$ -groupoids!

L6, L9:  $C \in Cat_{\infty}$ ,  $\mathcal{P}(C) = Fun(C^{op}, \mathcal{J}) \in Cat_{\infty}$

Hom-sets  $\rightsquigarrow$  mapping spaces

$$map_e(-, -) : C^{op} \times C \rightarrow \mathcal{J}$$

Yoneda Embedding holds for  $C \rightarrow \mathcal{P}(C)$ .

Given  $X, Y \in \mathbf{sSet}$ , the function complex  $\text{Fun}(X, Y)$  is a simplicial set with

$$\text{Fun}(X, Y)_n = \text{Hom}(\Delta^n \times X, Y)$$

and  $\delta: [m] \rightarrow [n]$  induces

$$\text{Hom}(\delta \times \text{id}_X, Y): \text{Hom}(\Delta^n \times X, Y) \rightarrow \text{Hom}(\Delta^m \times X, Y).$$

$\leadsto$  Vertices are precisely the set of maps  $X \rightarrow Y$  in  $\mathbf{sSet}$ .  
morphisms are nat. transformations defined in L2.

$\leadsto$   $\text{Fun}: \mathbf{sSet}^{\text{op}} \times \mathbf{sSet} \rightarrow \mathbf{sSet}$  is a functor.

$$\Rightarrow \text{Fun}(X \times Y, Z) \xrightarrow{\cong} \text{Fun}(X, \text{Fun}(Y, Z))$$

since  $\text{Hom}(X \times Y, Z) \xrightarrow{\cong} \text{Hom}(X, \text{Fun}(Y, Z))$

$$\text{Fun}(X \times Y, Z)_n = \text{Hom}(\Delta^n \times X \times Y, Z)$$

$$\text{Fun}(X, \text{Fun}(Y, Z))_n = \text{Hom}(\Delta^n \times X, \text{Fun}(Y, Z))$$

+ Yoneda Lemma

$\rightarrow$  For any  $C, D \in \mathbf{Cat}$ ,  $\text{Fun}(NC, ND) \approx N\text{Fun}(C, D)$

$$\text{Fun}(NC, ND)_n = \text{Hom}(\Delta^n \times NC, ND)$$

$$= \text{Hom}(N[n] \times NC, ND)$$

$$= \text{Hom}(N([n] \times C), ND)$$

$$= \text{Hom}_{\mathbf{Cat}}([n] \times C, D)$$

$$= \text{Hom}_{\mathbf{Cat}}([n], \text{Fun}(C, D))$$

$$= N\text{Fun}(C, D)_n$$

Q: When is  $\text{Fun}(X, Y) \in \infty\text{-Cat}$ ?

## Lifting properties.

For a category, e.g.  $\mathbf{Set}$ , which has all small colimits.

a weakly saturated class  $A$  is a class of morphisms which contains all isos and is closed under co-base change, transfinite composition, (coproducts) & retracts.

• co-base change:

$$\begin{array}{ccc} A & \xrightarrow{g} & A' \\ f \downarrow & & \downarrow f' \\ B & \xrightarrow{r} & B' \end{array} \quad f \in A \Rightarrow f' \in A$$

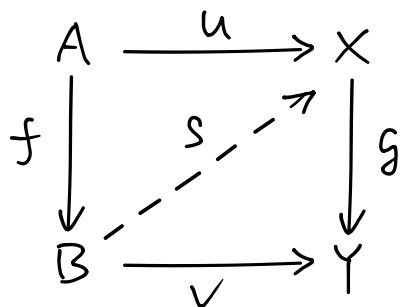
• transfinite composition: for any ordinal  $\lambda$  (well-ordered set) and functor  $X: \lambda \rightarrow \mathbf{Set}$ , if for every  $i \in \lambda$  with  $i \neq 0$ ,  $\text{colim}_{j < i} X(j) \rightarrow X(i)$  is in  $A$ ,  $X(0) \rightarrow \text{colim}_{j \in \lambda} X(j)$  is in  $A$ .

• retract:

$$\begin{array}{ccccc} & & \text{id} & & \\ & & \curvearrowright & & \\ A & \xrightarrow{\quad} & A' & \xrightarrow{\quad} & A \\ f \downarrow & & \downarrow g & & \downarrow f \\ B & \xrightarrow{\quad} & B' & \xrightarrow{\quad} & B \\ & & \text{id} & & \curvearrowleft \end{array} \quad g \in A \Rightarrow f \in A.$$

Given a class of maps  $S$ , its weak saturation  $\bar{S}$  is the smallest weakly saturated class containing  $S$ .

Given morphisms  $f: A \rightarrow B$ ,  $g: X \rightarrow Y$ , a lifting problem for  $(f, g)$  is a pair of morphisms  $(u, v)$  s.t.  $vf = gu$ . A lift is a morphism  $s$  with  $sf = u$  and  $gs = v$ .



• We write  $f \square g$  if every lifting problem admits a lift.

$$f \square g \Leftrightarrow \text{Hom}(B, X) \longrightarrow \text{Hom}(A, X) \times_{\text{Hom}(A, Y)} \text{Hom}(B, Y) \text{ surjective.}$$

• Also write  $A \square B$  if  $a \square b$  for all  $a \in A$ ,  $b \in B$ .

• right complement  $A^\square = \{g \mid a \square g \text{ for all } a \in A\}$

left complement  ${}^\square A = \{f \mid f \square a \text{ for all } a \in A\}$

$\hookrightarrow$  Prop.  $A^\square, {}^\square A$  are weakly saturated.

$$\hookrightarrow A \subseteq B \Rightarrow A^\square \supseteq B^\square \quad {}^\square A \supseteq {}^\square B$$

$$\Rightarrow A \subseteq ({}^\square A)^\square, \quad A \subseteq (A^\square)^\square$$

$$\Rightarrow A^\square \supseteq ({}^\square(A^\square))^\square, \quad A^\square \subseteq (A^\square)^\square$$

$$\Rightarrow A^\square = ({}^\square(A^\square))^\square, \quad {}^\square A = ({}^\square({}^\square A))^\square$$

Small object argument. Let  $S$  be a set of morphisms in  $sSet$ . Every map  $f$  in  $sSet$  admits a factorization

$$f = p \circ j, \quad j \in \bar{S}, \quad p \in S^\square.$$

$$\Rightarrow \bar{S} = \mathcal{O}(S^\square)$$

That is, each  $S$  gives a weak factorization system  $(\bar{S}, S^\square)$  for  $sSet$ .

Remk. This also works for  $\mathcal{P}$  the class of  $0 \rightarrow P$  for  $P$  projective in an abelian category  $\mathcal{C}$  with enough projectives, where

$P$  projective := LLP w.r.t. epimorphisms

$\mathcal{P}^\square =$  class of epimorphisms

$\bar{\mathcal{P}} =$  monomorphisms with projective cokernel.

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$$\text{InnHorn} := \{ \wedge_j^n \in \Delta^n \mid 0 < j < n, n \geq 2 \}$$

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$\overline{\text{InnHorn}} :=$  class of inner anodynes

$\text{InnFib} := \text{InnHorn}^\square =$  class of inner fibrations

$\Rightarrow (\overline{\text{InnHorn}}, \text{InnFib})$  is a weak factorization system.

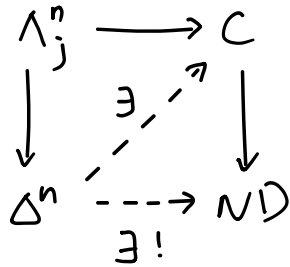
$\rightarrow \mathcal{C} \in \mathcal{G}\text{Cat} \Leftrightarrow \mathcal{C} \rightarrow * \in \text{InnFib}$

$\Leftrightarrow$  If  $A \rightarrow B$  in  $\overline{\text{InnHorn}}$ , any map  $A \rightarrow C$  in  $sSet$  has an extension to some  $B \rightarrow C$ .

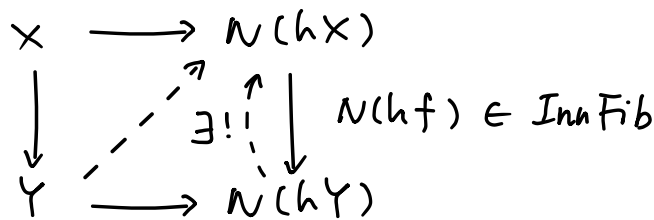
→ Examples. •  $\Lambda_S^n = \bigcup_{i \in S} \Delta^{[n] \setminus i}$ ,  $S \subseteq [n]$

$\Lambda_S^n \hookrightarrow \Delta^n$  generalized horn is in  $\overline{\text{InnFib}}$ .

- $C' \subseteq C$  subcat is in  $\text{InnFib}$ .
- for  $C \in \mathcal{C}at$ ,  $D \in \mathcal{C}at$ , any  $C \rightarrow D \in \text{InnFib}$ .



→  $f: X \rightarrow Y \in \overline{\text{InnFib}} \Rightarrow hf: hX \rightarrow hY$  is an isomorphism.



also used universal property of  $h(-)$ .

Boundary of  $\Delta^n$ :  $\partial\Delta^n = \bigcup_{j \in [n]} \Delta^{[n] \setminus j}$  union of codim 1 faces

$$(\partial\Delta^n)_k = \{f: [k] \rightarrow [n] \mid f[k] \neq [n]\}$$

⇒  $\partial\Delta^0 = \emptyset$ ,  $\partial\Delta^n$  is the largest proper subcpx of  $\Delta^n$   
(it does not contain  $\text{id}_{[n]}$ )

Define Cell :=  $\{\partial\Delta^n \subseteq \Delta^n \mid n \geq 0\}$ , TrivFib := Cell  $\square$



Cell  $\subseteq$  monomorphisms

where the RHS is weakly saturated.

$\Rightarrow \overline{\text{Cell}} \subseteq \text{monomorphisms}$  *Converse?*

prop.  $\overline{\text{Cell}} = \text{monomorphisms}$

proof uses skeletal filtration.

k-skeleton  $Sk_k X$  of  $X \in \text{sSet}$  is the subcpX

$$(Sk_k X)_n = \bigcup_{0 \leq j \leq k} \{f(y) \mid y \in X_j, f: [n] \rightarrow [j]\}$$

$$\rightarrow Sk_{k-1} X \subseteq Sk_k X,$$

$$X = \bigcup_k Sk_k X,$$

$$Sk_{n-1} \Delta^n = \partial \Delta^n.$$

prop. Skeletal filtration:

for any  $X \in \text{sSet}$ ,  $A \subseteq X$  subcpX,

$$\sqcup_{a \in X_k^{nd} \setminus A_k^{nd}} \partial \Delta^k \longrightarrow A \cup Sk_{k-1} X$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \sqcup_{a \in X_k^{nd} \setminus A_k^{nd}} \Delta^k & \longrightarrow & A \cup Sk_k X \end{array}$$

(\*)

Cor.  $C \rightarrow * \in \text{TrivFib} \Rightarrow C \in \text{Kan}.$

# Enriched lifting.

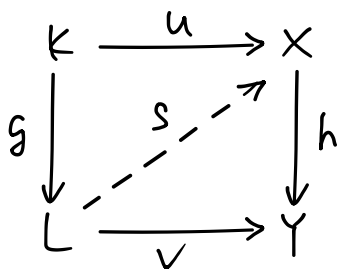
Given  $f: A \rightarrow B$ ,  $g: K \rightarrow L$ ,  $h: X \rightarrow Y$  in sets, the pushout-product  $f \square g$  is the unique map fitting in

$$\begin{array}{ccc}
 A \times K & \xrightarrow{g_*} & A \times L \\
 f_* \downarrow & & \downarrow f_* \\
 B \times K & \rightarrow & A \times L \sqcup_{A \times K} B \times K \\
 & \searrow g_* & \downarrow f_* \\
 & & B \times L
 \end{array}$$

the pullback-hom  $h \square g$  is the unique map fitting in

$$\begin{array}{ccc}
 \text{Fun}(L, X) & \xrightarrow{g^*} & \text{Fun}(K, X) \\
 h \square g \searrow & & \downarrow h_* \\
 \text{Fun}(L, Y) \times \text{Fun}(K, X) & \rightarrow & \text{Fun}(K, X) \\
 \text{Fun}(K, Y) \downarrow & & \downarrow h_* \\
 \text{Fun}(L, Y) & \xrightarrow{g^*} & \text{Fun}(K, Y)
 \end{array}$$

On vertices,  $h \square g$  is  $\text{Hom}(L, X) \rightarrow \text{Hom}(L, Y) \times \text{Hom}(K, X)$   
 $s \mapsto (hs, sg)$



Thus  $h \square g$  is surjective on vertices iff  $g \square h$ . So it is an "enriched" lifting problem of  $g \square h$ .

# Adjunction of lifty problems

Prop.  $(f \circ g) \square h \Leftrightarrow f \square (h \circ g)$ .

$$\begin{array}{ccc}
 A \times L \sqcup B \times K & \xrightarrow{(h, v)} & X \\
 \downarrow f \circ g & \nearrow s & \downarrow h \\
 B \times L & \xrightarrow{w} & Y
 \end{array}
 \qquad
 \begin{array}{ccc}
 A & \xrightarrow{\tilde{u}} & \text{Fun}(L, X) \\
 \downarrow f & \nearrow \tilde{s} & \downarrow h \circ g \\
 B & \xrightarrow{(\tilde{w}, \tilde{v})} & \text{Fun}(L, Y) \times \text{Fun}(K, X) \\
 & & \text{Fun}(K, Y)
 \end{array}$$

In particular, when  $K = \emptyset$ ,  $Y = *$ ,  $f \circ g = f \times L$

$$(A \times L \xrightarrow{f \times L} B \times L) \square (X \longrightarrow *)$$

$$\Leftrightarrow (A \xrightarrow{f} B) \square (\text{Fun}(L, X) \longrightarrow *)$$

$\rightarrow$  Let  $\mathcal{C} := \text{Fun}([1], \text{sSet})$  be the arrow category of  $\text{sSet}$ .  
 Then  $(\square, \emptyset \in \mathcal{C}^0)$  defines a symm. monoidal structure  
 on  $\mathcal{C}$  and  $(-) \circ g \dashv (-) \circ f$

Prop. For any set  $V$  of morphisms in  $\text{sSet}$ ,  $V\text{Fib} := V \square$   
 Then  $S \square T \subseteq \bar{U}$  implies  $\bar{S} \square \bar{T} \subseteq \bar{U} = \square U\text{Fib}$ ,

$$\text{Cor. } \bar{S} \square U\text{Fib} \circ \bar{T} \Rightarrow U\text{Fib} \circ \bar{T} \subseteq S\text{Fib}$$

$$\bar{T} \square U\text{Fib} \circ \bar{S} \Rightarrow U\text{Fib} \circ \bar{S} \subseteq S\text{Fib}$$

Prop.  $\overline{\text{InnHorn}} \square \overline{\text{Cell}} \subseteq \overline{\text{InnHorn}}$ ;  $\overline{\text{Cell}} \square \overline{\text{Cell}} \subseteq \overline{\text{Cell}}$

$$\text{Cor. } \text{InnFib} \circ \overline{\text{Cell}} \subseteq \text{InnFib}$$

$$\text{InnFib} \circ \overline{\text{InnHorn}} \subseteq \text{TrivFib}; \quad \text{TrivFib} \circ \overline{\text{Cell}} \subseteq \text{TrivFib}.$$

Thm. For  $C \in \mathcal{qCat}$ ,  $L \in \mathcal{sSet}$ ,  $\text{Fun}(L, C) \in \mathcal{qCat}$ .

Proof.

$$\begin{aligned} & \overline{\text{InnHorn}} \sqcup \overline{\text{Cell}} \quad \text{InnFib} \\ & \quad \cup \quad \cup \\ & (\Lambda^n \xrightarrow{f} \Delta^n) \sqcup (\emptyset \rightarrow L) \sqcup (C \rightarrow *) \\ \Leftrightarrow & (\Lambda^n \xrightarrow{f} \Delta^n) \sqcup (\text{Fun}(L, C) \rightarrow *) . \quad \square \end{aligned}$$

Restriction along  $j: A \rightarrow B$  an inner anodyne or monomorphism induces trivial fibration or inner fibration of functor  $\mathcal{q}$ cats:

$$j^* = (p: C \rightarrow *)^{\square j}: \text{Fun}(B, C) \rightarrow \text{Fun}(A, C).$$

Another characterization of a  $\mathcal{q}$ cat.

We saw  $\overline{\text{InnHorn}} \sqcup \overline{\text{Cell}} \subseteq \overline{\text{InnHorn}}$ ; in fact,

$$\overline{\{\Lambda_1^2 \subseteq \Delta^2\} \sqcup \text{Cell}} = \overline{\text{InnHorn}} \text{ due to Joyal.}$$

Cor.  $C \in \mathcal{sSet}$  is an  $\infty$ -cat.

$$\Leftrightarrow f = (C \rightarrow *)^{\square \{\Lambda_1^2 \subseteq \Delta^2\}}: \text{Fun}(\Delta^2, C) \rightarrow \text{Fun}(\Lambda_1^2, C)$$

is a trivial fibration.

Proof. For all  $n \geq 0$

$$\begin{aligned} & (\partial \Delta^n \rightarrow \Delta^n) \sqcup (\Lambda_1^2 \rightarrow \Delta^2) \sqcup (C \rightarrow *) \\ \Leftrightarrow & (\partial \Delta^n \rightarrow \Delta^n) \sqcup f \end{aligned}$$

Thus

$$\begin{aligned} & (C \rightarrow *) \in \text{InnFib} \\ \Leftrightarrow & (C \rightarrow *) \in (\{\Lambda_1^2 \subseteq \Delta^2\} \sqcup \text{Cell})^{\square} \\ \Leftrightarrow & f \in \text{TrivFib} = \text{Cell}^{\square} . \quad \square \end{aligned}$$

## Composition revisited.

We saw in talk 1 that composition is unique up to homotopy:

$$[g] \circ [f] = [gf] \text{ is well-defined.}$$

Now we can do better.

- Every trivial fibration admits a section.

$$\begin{array}{ccc}
 \emptyset & \longrightarrow & X \\
 \downarrow & \nearrow s & \downarrow h \\
 Y & \xrightarrow{\text{id}} & Y
 \end{array}$$

↙ spine

- $I^2 = \Delta_1^2 \rightarrow \Delta^2 \in \text{InnHorn}$  induces trivial fibration  $r_*$  in functor cats for which we pick a section  $s$  fitting in

$$\text{Fun}(\Delta_1^2, C) \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{r_*} \end{array} \text{Fun}(\Delta^2, C) \xrightarrow{r'} \text{Fun}(\Delta^{\{0,2\}}, C)$$

$\Rightarrow$   $sr'$  is a composition functor.

- $n$ -fold composition functor:  $I^n \rightarrow \Delta^n \in \text{InnHorn}$  gives rise to

$$\text{Fun}([1], C) \times_C \text{Fun}([1], C) \times_C \cdots \times_C \text{Fun}([1], C)$$

||

$$\text{Fun}(I^n, C)$$

$$\begin{array}{c} \uparrow s \\ r_* \downarrow \end{array}$$

$$\text{Fun}(\Delta^n, C) \xrightarrow{r'} \text{Fun}(\Delta^{\{0,n\}}, C)$$

Remark: This definition is not unique since  $s$  is not. But we will see all such functors are "naturally isomorphic."

## Categorical Equivalences "the correct notion of equivalences for $\mathcal{G}\text{-cats}$ "

- A categorical inverse to  $f: C \rightarrow D$  of  $\mathcal{G}\text{-cats}$  is a  $g: D \rightarrow C$  s.t.  $gf$  is naturally isomorphic to  $1_C$  and  $fg$  is naturally isomorphic to  $1_D$ .
- A map  $f: X \rightarrow Y$  in  $s\text{Set}$  is a categorical equivalence if for every  $\infty$ -cat  $E$ ,  
 $f^*: \text{Fun}(Y, E) \rightarrow \text{Fun}(X, E)$  of  $\mathcal{G}\text{-cats}$  admits a categorical inverse.

Prop. For  $f: C \rightarrow D$  of  $\mathcal{G}\text{-cats}$ ,  $f$  admits a categorical inverse iff it is a categorical equivalence.

Cor. For  $f: X \rightarrow Y$  in  $s\text{Set}$ , TFAE:

1)  $f$  is a categorical equivalence;

2) for any  $\mathcal{G}\text{-cat}$   $C$ ,

$$hf^*: h\text{Fun}(Y, C) \rightarrow h\text{Fun}(X, C)$$

is an equivalence of 1-cats.

3) for any  $\mathcal{G}\text{-cat}$   $C$ ,

$$\pi_0 \text{Fun}(Y, C) \cong \rightarrow \pi_0 \text{Fun}(X, C) \cong$$

is a bijection.

Remark. Prop lets you reduce 3)  $\Rightarrow$  1) to  $f$  between  $\mathcal{G}\text{-cats}$ .

3) is the definition Lurie uses for cat equiv in *kerodon*.

Prop.  $\text{TrivFib} \subseteq \text{CatEq}$ .

Cor.  $\overline{\text{InHorn}} \subseteq \text{CatEq}$ .

Fix  $p: X \rightarrow S \in \text{TrivFib}$ .

① If  $S = *$ ,  $p$  is a categorical equivalence exhibiting  $X$  as a contractible ( $\pi_0$  singleton) Kan cpx.

② Recall for any  $Y \in \mathcal{S}\text{Set}$ ,

$$p_* = p \circ (\emptyset \subset Y) : \text{Fun}(Y, X) \rightarrow \text{Fun}(Y, S)$$

is also a trivial fibration. (Same holds for  $\text{InFib}$ .)

③ In the two pullbacks in  $\mathcal{S}\text{Set}$ , vertical maps on the left

$$\begin{array}{ccc} \text{parametrizes} & & \\ \text{sections of } p & \rightsquigarrow & \text{Fun}_{/S}(S, X) \rightarrow \text{Fun}(S, X) \\ & & \downarrow \text{CatEq} \\ & & \{id\} \rightarrow \text{Fun}(S, S) \end{array}$$

$p_* \downarrow \in \text{TrivFib}$

$$\begin{array}{ccc} sp \approx id_X \text{ in } & \rightsquigarrow & \text{Fun}_{/S}(X, X) \rightarrow \text{Fun}(X, X) \\ & & \downarrow \text{CatEq} \\ & & \{p\} \rightarrow \text{Fun}(X, S) \end{array}$$

$p_* \downarrow \in \text{TrivFib}$

are contractible  $\infty$ -groupoids by ①.

$\Rightarrow p: X \rightarrow S \in \text{CatEq}$ .

□

Remark: If  $p$  is a trivial fib between  $\mathcal{C}\text{ats}$ , any section is its categorical inverse and any two sections are nat. isomorphic, living in a contractible Kan cpx.

$\Rightarrow$  Composition is unique up to a contractible space of choices.

## Examples of cat. equiv.

$F$  = free monoid on one generator  $g$ .

obj set is  $\{g\}$ , morphism set is  $\{g^n \mid n \geq 0\}$ .

$$\Rightarrow (NF)_d = \{ (g^{m_1}, \dots, g^{m_d}) \mid m_i \geq 0 \}$$

The map  $\gamma: S^1 = \Delta^1 / \partial \Delta^1 \longrightarrow NF$  is in  $\overline{\text{Inn Horn}}$ .

$\overset{\text{Simplicial circle}}{\curvearrowright} \quad \overline{\langle 0 \rangle} \longmapsto g$

(proof is an explicit computation using skeletal filtration.)

$\leadsto NF$  is the free monoid generated as a  $\mathcal{G}\text{Cat}$  by  $S^1$ .

$\Rightarrow$  Enriched Lifting +  $N(-)$  monoidal gives

$$\gamma^{x^n}: (S^1)^{x^n} \longrightarrow N(F^{x^n}) \text{ is in } \overline{\text{Inn Horn}} \subseteq \text{Cat Eq.}$$

$\leadsto$  free comm monoid generated as a  $\mathcal{G}\text{Cat}$ .



## Join

For  $A, B \in \text{Cat}$ , the join  $A \star B$  is the category

$$\text{ob}(A \star B) = \text{ob}A \sqcup \text{ob}B$$

$$\text{mor}(A \star B) = \text{mor}A \sqcup (\text{ob}A \times \text{ob}B) \sqcup \text{mor}B$$

with

$$\text{Hom}_{A \star B}(x, y) = \begin{cases} \text{Hom}_{A \star B}(x, y) & x, y \in \text{ob}A \\ \text{Hom}_{A \star B}(x, y) & x, y \in \text{ob}B \\ \{*\} & x \in \text{ob}A, y \in \text{ob}B \\ \emptyset & x \in \text{ob}B, y \in \text{ob}A \end{cases}$$

left cone :  $A^\circ = [0] \star A$  freely adjoins an initial obj.

right cone :  $A^\circ = A \star [0]$  freely adjoins a terminal obj.

## Ordered disjoint union

$$\sqcup : \Delta \times \Delta \longrightarrow \Delta, \quad [p] \sqcup [q] = [p+1+q]$$

$(0, 1, \dots, p, 0, \dots, q)$

We extend  $\Delta$  to  $\Delta_+ = \Delta^\circ$  by adjoining  $[-1] := \emptyset$

Thus  $(\sqcup, [-1])$  is a monoidal structure on  $\Delta_+$ .

For each map  $f: [p] \rightarrow [q_1] \sqcup [q_2]$  in  $\Delta_+$ , there's a unique decomposition  $[p] = [p_1] \sqcup [p_2]$  s.t.  $f$  (uniquely)

decomposes as

$$f = f_1 \sqcup f_2, \quad f_i : [p_i] \rightarrow [q_i].$$

For  $X, Y \in \mathbf{sSet}$ , join  $X \star Y$  is the simplicial set with

$$(X \star Y)_n := \bigsqcup_{[n] = [n_1] \cup [n_2]} X_{n_1} \times Y_{n_2}$$

over  $[n_i] \in \text{ob } \Delta_+$ , and we set  $X_{-1} = * = Y_{-1}$

For  $(x, y) \in X_{n_1} \times Y_{n_2} \subseteq (X \star Y)_n$  and  $f: [m] \rightarrow [n]$ ,

$$(x, y) f = (x f_1, y f_2),$$

where  $f = f_1 \sqcup f_2$ ,  $f_i: [m_i] \rightarrow [n_i]$  is the unique decomposition of  $f$  over  $[n] = [n_1] \cup [n_2]$ .

e.g.  $(X \star Y)_0 = X_0 \sqcup Y_0$

$$(X \star Y)_1 = X_1 \sqcup (X_0 \times Y_0) \sqcup Y_1$$

$$(X \star Y)_2 = X_2 \sqcup (X_1 \times Y_0) \sqcup (X_0 \times Y_1) \sqcup Y_2$$

$$\rightsquigarrow X \longrightarrow X \star Y \longleftarrow Y \text{ subcopx.}$$

$\hookrightarrow (\star, \emptyset =: \Delta^{-1})$  is a monoidal structure on  $\mathbf{sSet}$  and  $X \star -$ ,  $- \star X$  preserve pushout.

$$\hookrightarrow \Delta^p \star \Delta^q = \Delta^{p+q+1}$$

left cone:  $A^\triangleright = \Delta^0 \star A$

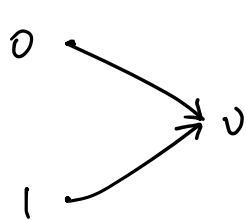
right cone:  $A^\triangleleft = A \star \Delta^0$

$$\rightsquigarrow N(A \star B) = N(A) \star N(B)$$

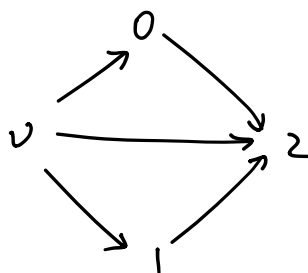
for  $B = [0]$ ,

$$\Rightarrow N(A^\triangleright) = (NA)^\triangleright, \quad N(A^\triangleleft) = (NA)^\triangleleft$$

Example :  $(\partial \Delta^n)^\partial = \Delta^0 \star \partial \Delta^n \approx \Lambda_0^{n+1}$   
 $(\partial \Delta^n)^\partial = \partial \Delta^n \star \Delta^0 \approx \Lambda_{n+1}^{n+1}$



$(\partial \Delta^1)^\partial = \Lambda_2^2$



$(\Lambda_2^2)^\partial = \Delta^1 \times \Delta^1 = \Delta^2 \cup_{\Delta^1} \Delta^2$

Lemma. Maps  $f: K \rightarrow X \star Y$  of sets are in bijection with the tuples

$(\pi: K \rightarrow \Delta^1, f_{\{0\}}: K^{\{0\}} \rightarrow X, f_{\{1\}}: K^{\{1\}} \rightarrow Y)$

where  $K^{\{j\}} = \pi^{-1}(\{j\})$ .

Prop. Join of cats is a cat.

Proof. Use the lemma and  $\Lambda_j^n \rightarrow \Delta^1$  is either const at 0, or const at 1, or nonconst.

$\Rightarrow \Lambda_j^n \rightarrow X \star Y$  factors as

$\Lambda_j^n \rightarrow X \star \Delta^{-1} \rightarrow X \star Y$

or  $\Lambda_j^n \rightarrow \Delta^{-1} \star Y \rightarrow X \star Y$

or  $\Lambda_j^n \rightarrow \Delta^k \star \Delta^{n-1-k} \rightarrow X \star Y$ .

□

# Slices

For  $p: S \rightarrow X$ ,  $X_{p/}$  is the slice-under simplicial set with

$$(X_{p/})_n = \text{Hom}_{\text{sSet}_S} (S \star \Delta^n, X)$$

↖ coslice

For  $q: T \rightarrow X$ ,  $X_{/q}$  is the slice-over simplicial set with

$$(X_{/q})_n = \text{Hom}_{\text{sSet}_T} (\Delta^n \star T, X)$$

↖ slice

Notices that  $X \star -$ ,  $- \star X$  do not preserve colimits b/c  $X \star \emptyset = X \neq \emptyset$ ,  $\emptyset \star X = X \neq \emptyset$ . But

Prop. For every  $X \in \text{sSet}$ ,

$$X \star - , - \star X : \text{sSet} \longrightarrow \text{sSet}_X$$

preserve colimits and they have right adjoints

$$(p: S \rightarrow X) \longmapsto X_{p/} : \text{sSet}_S \longrightarrow \text{sSet}$$

$$(q: T \rightarrow X) \longmapsto X_{/q} : \text{sSet}_T \longrightarrow \text{sSet}$$

In general, we have bijective correspondences, known as the "join/slice adjunction"

$$\left\{ \begin{array}{ccc} S \star \emptyset = S & \xrightarrow{p} & X \\ \downarrow & \nearrow & \\ S \star K & & \end{array} \right\} \iff \{ K \dashrightarrow X_{p/} \}$$

$$\left\{ \begin{array}{ccc} \emptyset \star T = T & \xrightarrow{q} & X \\ \downarrow & \nearrow & \\ K \star T & & \end{array} \right\} \iff \{ K \dashrightarrow X_{/q} \}$$

- Nerve preserves slices: for  $p: A \rightarrow C$  in  $\text{Cat}$ ,  
 $N(C_{p/}) \simeq NC_{Np/}$ ,  $N(C_{/p}) \simeq NC_{/Np}$

- **Functoriality of slice**: given  $T \xrightarrow{j} S \xrightarrow{p} X \xrightarrow{f} Y$

$$\rightsquigarrow \begin{array}{ccc} X_{/p} & \longrightarrow & Y_{/fp} \\ \downarrow & & \downarrow \\ X_{/pj} & \longrightarrow & Y_{/fpj} \end{array} \quad \text{commutative square.}$$

$$\begin{array}{ccccccc} T & \xrightarrow{j} & S & \xrightarrow{p} & X & \xrightarrow{f} & Y \\ \downarrow \gamma & & \downarrow \gamma & & \nearrow \tilde{u} & & \\ K \star T & \xrightarrow{K \star j} & K \star S & & & & \end{array} \quad \begin{array}{l} \text{slice-over} \\ \downarrow \\ T \star K, S \star K \end{array}$$

$u: K \rightarrow X_{/f}$  restricting to  $K \xrightarrow{u} X_{/f} \rightarrow X_{/fpj}$   
 corresponds to  $\tilde{u}$  restricting to  $p \tilde{u}(K \star j)$ .

Letting  $K = \Delta^n$  in the slice-over ssets, we get assignments

on  $n$ -cells:

$$\bullet \quad \emptyset \xrightarrow{j} S \xrightarrow{p} X \xrightarrow{f} X$$

$$\rightsquigarrow \quad X_{/p} \longrightarrow X$$

$$\tilde{x}: \Delta^n \star S \rightarrow X \mapsto \tilde{x} |_{\Delta^0 \star \emptyset}$$

$$\bullet \quad \emptyset \xrightarrow{j} S \xrightarrow{p} X \xrightarrow{f} Y$$

$$\rightsquigarrow \quad X_{/f} \longrightarrow Y_{/fp}$$

$$\tilde{x}: \Delta^n \star S \rightarrow X \mapsto f \tilde{x}: \Delta^n \star S \rightarrow Y$$

Slice categories of a  $\mathcal{G}cat$  is a  $\mathcal{G}cat$ .

### Lifely properties of join/slices

- Given  $i: A \rightarrow B$ ,  $j: K \rightarrow L$ , the pushout-join is

$$i \boxtimes j : (A \star L) \sqcup_{A \star K} (B \star K) \longrightarrow B \star L$$

induced by  $(i \star L, B \star j)$ .

- Note.  $\boxtimes$  is not symm since  $\star$  is not.

- For  $0 \leq j \leq n$ ,

$$(\Lambda_j^n \subset \Delta^n) \boxtimes (\phi \subset \Delta^0) \approx (\Lambda_j^{n+1} \subset \Delta^{n+1})$$

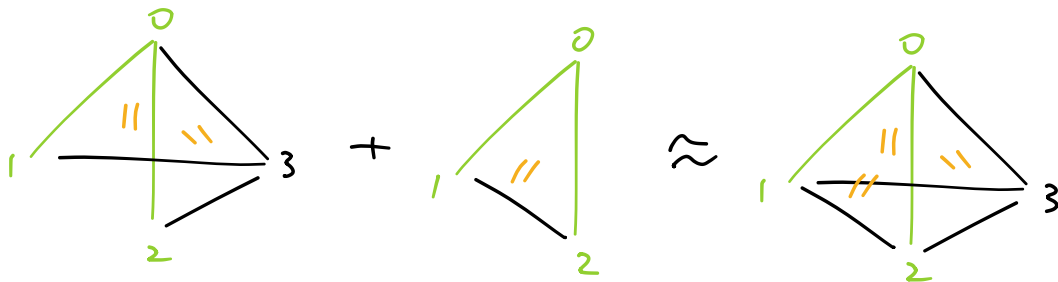
$$(\phi \subset \Delta^0) \boxtimes (\Lambda_j^n \subset \Delta^n) \approx (\Lambda_{i+j}^{i+n} \subset \Delta^{i+n})$$

$$(\partial \Delta^n \subset \Delta^n) \boxtimes (\phi \subset \Delta^0) \approx (\partial \Delta^{n+1} \subset \Delta^{n+1})$$

$$(\phi \subset \Delta^0) \boxtimes (\partial \Delta^n \subset \Delta^n) \approx (\partial \Delta^{i+n} \subset \Delta^{i+n})$$

e.g.  $(\Lambda_0^2 \subset \Delta^2) \boxtimes (\phi \subset \Delta^0)$  is the map

$$\Lambda_0^2 \star \Delta^0 \sqcup_{\Lambda_0^2 \star \phi} \Delta^2 \star \phi \approx \Lambda_0^3 \longrightarrow \Delta^3$$



For general  $k$ ,

$$(\Lambda_j^n \subset \Delta^n) \boxtimes (\partial \Delta^k \subset \Delta^k) \approx (\Lambda_j^{n+1+k} \subset \Delta^{n+1+k})$$

$$(\partial \Delta^k \subset \Delta^k) \boxtimes (\Lambda_j^n \subset \Delta^n) \approx (\Lambda_{k+1+j}^{k+1+n} \subset \Delta^{k+1+n})$$

$$(\partial \Delta^n \subset \Delta^n) \boxtimes (\partial \Delta^k \subset \Delta^k) \approx (\partial \Delta^{n+1+k} \subset \Delta^{n+1+k})$$

given  $T \xrightarrow{j} S \xrightarrow{P} X \xrightarrow{f} Y$ ,

$$\begin{array}{ccc} X_{p/} & \longrightarrow & Y_{fp/} \\ \downarrow & & \downarrow \\ X_{pj/} & \longrightarrow & Y_{fpj/} \end{array}$$

commutes.

the pullback slices are

$$f^{\boxtimes j}_P: X_{p/} \longrightarrow X_{pj/} \times_{Y_{fpj/}} Y_{fp/}, \quad f^{j \boxtimes P}: X/p \longrightarrow X/p_j \times_{Y/fpj} Y/fp.$$

Prop.  $(i \boxtimes j) \square f \Leftrightarrow i \square (f^{\boxtimes j})$  for all  $g: L \rightarrow X$

$\Leftrightarrow j \square (f^{i \boxtimes P})$  for all  $p: B \rightarrow X$

Proof for first  $\Leftrightarrow$ :

$$\begin{array}{ccccc} & & g & & \\ & & \curvearrowright & & \\ \emptyset * L & \longrightarrow & A * L \sqcup B * K & \xrightarrow{(u,v)} & X \\ & & A * K & & \\ & & i \boxtimes j \downarrow & \nearrow s & \downarrow f \\ & & B * L & \xrightarrow{w} & Y \end{array}$$

$$\Leftrightarrow \begin{array}{ccccc} A & \xrightarrow{\tilde{u}} & X/g & & \\ i \downarrow & & \nearrow \tilde{s} & & \downarrow f^{\boxtimes j} \\ B & \xrightarrow{(\tilde{v}, \tilde{w})} & X/g_j \times_{Y/f_gj} Y/f_g & & \end{array}$$

□

Prop.  $\overline{\text{RHorn}} \boxtimes \overline{\text{Cell}} \subseteq \overline{\text{JanHorn}}$ ;  $\overline{\text{Cell}} \boxtimes \overline{\text{Cell}} \subseteq \overline{\text{Cell}}$   
 $\overline{\text{Cell}} \boxtimes \overline{\text{LHorn}} \subseteq \overline{\text{JanHorn}}$ ;

Cor. Join preserves monomorphisms.

For  $i: A \rightarrow B$  in  $\overline{\text{Cell}}$ , factor  $S \star i$  as

$$S \star A \rightarrow S \star A \cup \emptyset \star B \xrightarrow{(\phi \subseteq S) \star i} S \star B$$

$\uparrow$   $\quad \quad \quad \uparrow$   
 $\{$   $\quad \quad \quad \emptyset \star A$

base change of a mono is mono. □

Cor. Slice preserves trivial fibrations.

$$X_{p/} \xrightarrow{f \boxtimes_P (\phi \subseteq S)} X \times_Y Y_{fp/} \xrightarrow{\quad} Y_{fp/}$$

↖ base change of trivial fib

for  $X \xrightarrow{f} Y$  trivial fib. □

Cor. Given  $T \xrightarrow{j} S \xrightarrow{p} C$  with  $C \in \mathfrak{qcat}$  (so  $f: C \rightarrow *$  is an inner fibration.) We have pullback slices

$$l = f \boxtimes_P^j: C_{p/} \rightarrow C_{pj/}, \quad r = f^j \boxtimes_P: C_{/p} \rightarrow C_{/pj}$$

1)  $j \in \overline{\text{Cell}} \Rightarrow l \in \text{LFib}, r \in \text{RFib}$

1')  $T = \emptyset, (l: C_{p/} \rightarrow C) \in \text{LFib} \subseteq \text{TrivFib}$

$(r: C_{/p} \rightarrow C) \in \text{RFib} \subseteq \text{TrivFib}$

$$\begin{array}{ccc}
 \Delta_j^n & \xrightarrow{\quad} & C_{p/} \\
 \downarrow & \exists \nearrow & \downarrow \in \text{TrivFib} \\
 \Delta^n & \dashrightarrow & C
 \end{array}$$

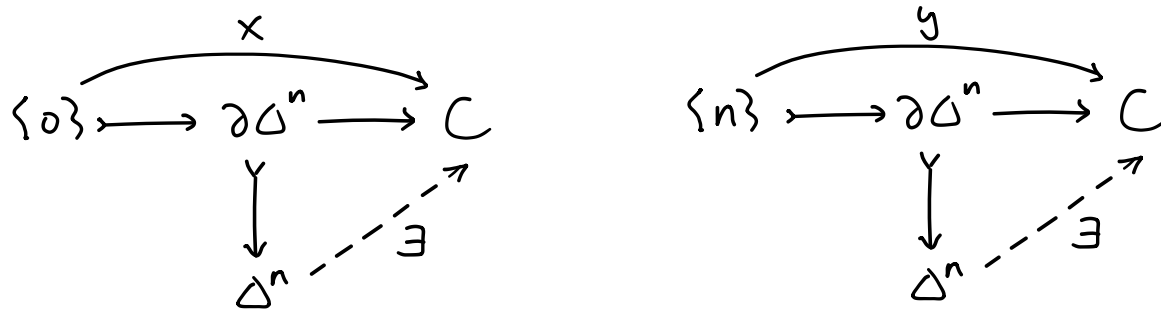
$\Rightarrow$  Slices of a qcat is a qcat!



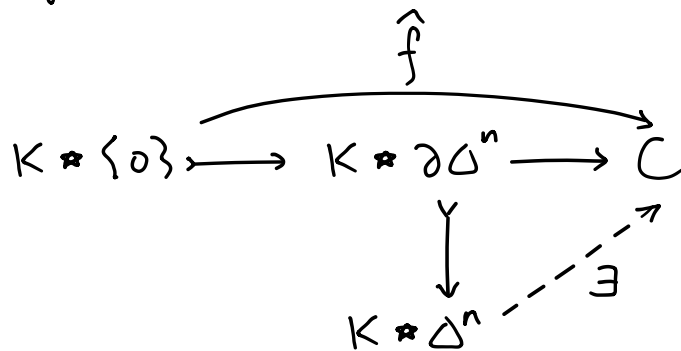
## Colimits & Limits

An initial object of a  $\mathcal{G}at$   $C$  is an  $x \in C_0$  s.t. every  $f: \partial\Delta^n \rightarrow C$  ( $n \geq 1$ ) with  $f|_{\{0\}} = x$  admits an extension to  $f': \Delta^n \rightarrow C$ .

A terminal object is an initial object in  $C^{op}$ .



Given  $f: K \rightarrow C$  for  $C$  a  $\mathcal{G}at$ , a colimit of  $f$  is an initial object of the slice  $\mathcal{G}at$   $C/f$ . That is, a colimit  $\hat{f}: K^\triangleright \rightarrow C$  extends  $f$  and lift exists in every diagram (for  $n \geq 1$ ) of the form



$\hat{f}$  is also referred to as a colimit cone of  $f$ , with cone point  $\hat{f}|_{\phi * \{0\}}$ .

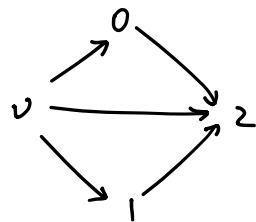
Similarly, a limit of  $f$  is a terminal object of  $C/f$ .

Example. ① For  $K = \emptyset$ ,  $f: \emptyset \rightarrow C$  is a  $\mathcal{C}$ -cat.

$\Rightarrow C_{/f} = C$  and a colimit of  $f$  is precisely an initial object of  $C$ .

②  $K = \Lambda_2^2$ ;  $K^\triangleright \approx \Delta' \times \Delta' \rightarrow C$

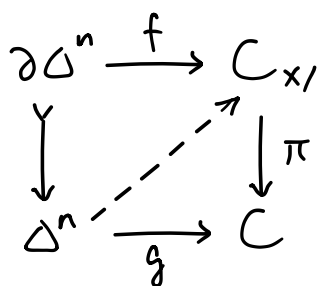
is a pullback diagram in  $C$ .



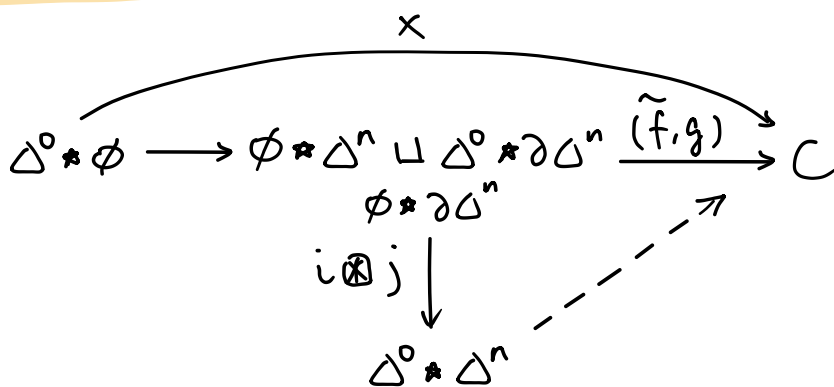
Prop.  $x \in C_0$  is initial  $\Leftrightarrow (C_{x/} \rightarrow C) \in \text{TrivFib}$

$x \in C_0$  is terminal  $\Leftrightarrow (C_{/x} \rightarrow C) \in \text{TrivFib}$ .

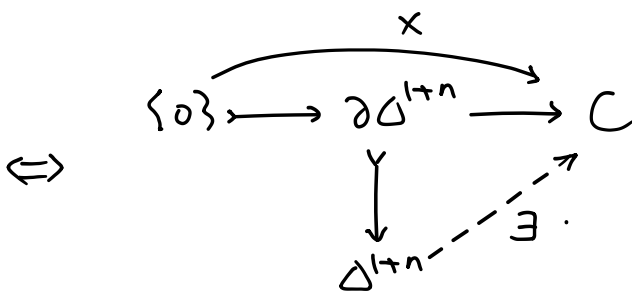
Proof. For all  $n \geq 0$ ,



$\Leftrightarrow$



where  $(i: \emptyset \subset \Delta^0) \boxtimes (j: \partial\Delta^n \subset \Delta^n) \approx (\partial\Delta^{1+n} \subset \Delta^{1+n})$ .



□

Cor. Let  $\tilde{f}: K^\triangleright \rightarrow C$  be a  $\mathcal{C}$ -cat and write  $f := \tilde{f}|_K$ . Then  $\tilde{f}$  is a colimit iff  $(C_{\tilde{f}} \rightarrow C_f) \in \text{TrivFib}$ ;  $\tilde{f}: K^\triangleright \rightarrow C$  is a limit iff  $(C_{/ \tilde{f}} \rightarrow C_{/f}) \in \text{TrivFib}$ .

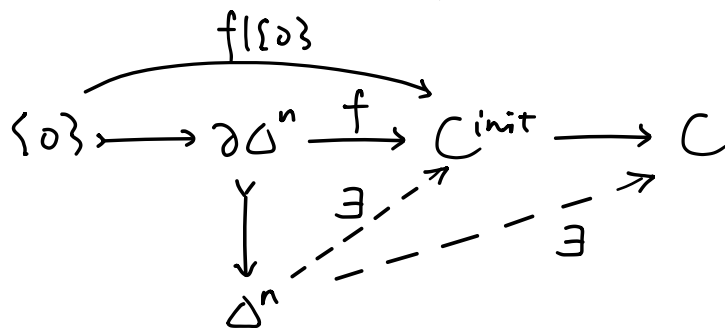
Proof.  $C_{\tilde{f}} = (C_f)_{\tilde{f}}$ , where  $\tilde{f} \in (C_f)_0$ .

□

Prop. For  $C \in \mathcal{C}at$ , let  $C^{init}$  and  $C^{term}$  denote respectively the full subcat spanned by initial objs & terminal objs. Then each of  $C^{init}$  and  $C^{term}$  are either empty or categorically equivalent to  $\Delta^0$ . That is, initial and terminal objs are unique to unique isomorphism.

Proof. •  $C^{term} = ((C^{op})^{init})^{op}$ .  
 • For  $n \geq 1$ ,  $(\partial\Delta^n)_0 = (\Delta^n)_0 \neq \emptyset$ .

For any  $\partial\Delta^n \xrightarrow{f} C^{init}$ ,



If  $C^{init} \neq \emptyset$ , extn exists also for  $n=0$ . Thus  $(C^{init} \rightarrow *) \in \text{TrivFib}$ , so  $C^{init}$  is a contractible Kan cpx. □

Cor. colimits and limits are unique.

Rmk. Need *Joyal lifting* for some other properties of initial & terminal objs.

# Joyal extension & lifting theorem !!!

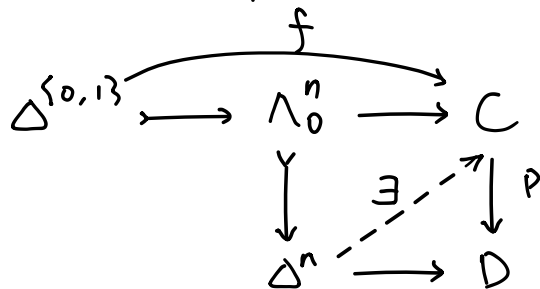
**Joyal extension theorem.** Let  $C \in \mathfrak{Cat}$  and  $f \in C_1$ ,  
TFAE.

- 1) The edge represented by  $f$  is an isomorphism.
- 2) Every  $a: \Lambda_0^n \rightarrow C$  with  $n \geq 2$  s.t.  $f = a|_{\Delta^{\{0,1\}}}$  admits an extension to a map  $\Delta^n \rightarrow C$
- 3) Every  $b: \Lambda_n^n \rightarrow C$  with  $n \geq 2$  s.t.  $f = b|_{\Delta^{\{n-1, n\}}}$  admits an extension to a map  $\Delta^n \rightarrow C$

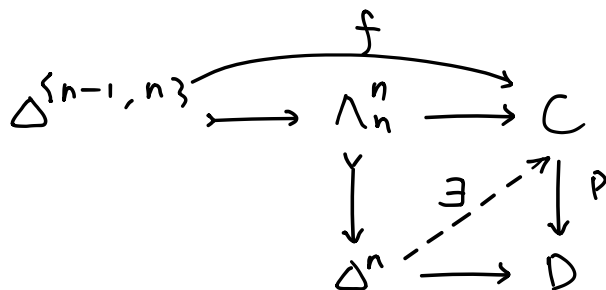
special case of :

**Joyal lifting theorem.** Let  $p: C \rightarrow D$  be an inner fibration between  $\mathfrak{Cats}$  and  $f \in C_1$  with  $p(f)$  being an isomorphism in  $D$ . TFAE.

- 1) The edge  $f$  is an isomorphism in  $C$ .
- 2) For all  $n \geq 2$ , lift exists in any



- 3) For all  $n \geq 2$ , lift exists in any



## Corollaries of Joyal's theorems!

Exe. Every simplicial set has extension property against 1-dim'l horns  $\Lambda'_j \rightarrow \Delta'$ .

Theorem. An  $\infty$ -groupoid is a Kan cpx.

Prop. If  $f: x \rightarrow y$  edge in  $C \in \mathcal{G}cat$  is an isomorphism,

$$C/x \xleftarrow{\quad} C/f \xrightarrow{\quad} C/y$$

form a zigzag of cat equiv and so  $C/x$  and  $C/y$  are categorically equivalent.

Note: The **composition functor** can be thought of realizing

$$\begin{array}{ccc} (C \xrightarrow{g} x) & \mapsto & (C \xrightarrow{gf} y) \\ \uparrow \cap & & \uparrow \cap \\ C/x & & C/y \end{array}$$

Thm. Invariance of initial/terminal obj.

For  $f \in C_1$  for  $C \in \mathcal{G}cat \iff \tilde{f} \in (C_{x/})_0$ ,

$\tilde{f}$  initial in  $C_{x/} \iff f$  is an isomorphism.

Thm. "pointwise criterion for isomorphisms" in  $\mathcal{G}cat$  as advertised in the intro:

For  $C \in \mathcal{G}cat$  and  $X \in sSet$ , an edge in  $\text{Fun}(X, C)$  is an iso iff it is a pointwise equivalence.

Thank you for listening!